

The background features a collage of images within geometric shapes. A large triangle on the left shows a silhouette of an eagle flying over a sunset over mountains. Another triangle at the top shows a sunset over a mountain range. A third triangle at the bottom left shows a sunset over trees. The central area is a light blue circle with a white dotted pattern.

Clinical Application of Spirometry Longitudinal Data Analysis (SPIROLA) Software in an Occupational Health Clinic and Office Setting

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Conflict of Interest

- I hereby certify that, to the best of my knowledge, no aspect of my current personal or professional situation might reasonably be expected to affect significantly my views on the subject on which I am/we are presenting.
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- Kathryn Henzler, BSN, RN
- Occupational Health Technicians:
 - » Teresita Ann Julao » Patrick Powell
 - » Livian Lucy Sanchez » Jamiel Morris
 - » Garry Phillip » Ariel Parris
- Administrative / Medical Records Clerks:
 - » Esther Fergison » Jesse Munoz



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GUAM: “Where America’s Day Begins”



GUAM: “Where America’s Day Begins”



Image Source: <https://earth.google.com/>



Occupational Health Clinic

- Joint Region Marianas
- Naval Hospital Guam
- Naval Base Guam
- Naval Weapons Magazine
- Naval Comm's Station
- Andersen AirForce Base Assets
- Force Protection (Police/ Security)
- **Fire and Emergency Services**
- **U.S. Coast Guard Sector Guam**
- Naval Special Warfare - Detachment 1
- Explosive Ordnance Disposal - Mobile Unit 5
- Submarine Squadron 15
- Seabees (NMCB 11, NMCB 133)
- Military Sealift Command (MSC)
- Helicopter Sea Combat - 25
- Naval Facilities Marianas
- **Guam Army National Guard, 94th Civil Support Team**





How does your occupational health practice evaluate for excessive lung function decline?

Learner Outcomes

- Understand the American Thoracic Society (ATS) recommendation for longitudinal monitoring of pulmonary function tests to detect early signs of excessive lung function decline
- Describe lung function decline and its clinical implications
- Describe the process to set up and apply SPIROLA in an Occupational Health Clinic and Office Setting
- Review case studies to assess longitudinal spirometry and identify evidence of excessive lung function decline



History of Spirometry

- Brown Lung, the Textile Industry, and the Cotton Dust Standard (29CFR 1910.1043), 1978
 - » Cotton dust exposure
 - » Byssinosis (aka brown lung disease or Monday fever), bronchitis, and asthma
 - » Impairment, disability, and premature death
 - » Industry required to comply with new guidance to reduce exposure → regulatory requirements
 - » Periodic medical surveillance must include spirometry
 - » Persons administering PFTs must be certified by completing a NIOSH-Approved Spirometry Course (reproducibility)



Image Source: <https://www.trustedclothes.com/>

History of Spirometry

- Black Lung, Mining Industry, Coal Act of 1969, and the Mine Act of 1977
 - » Coal and silica dust exposure
 - » Coal Worker's Pneumoconiosis (CWP), Silicosis, also called "black lung," COPD
 - » Impairment, disability, and premature death
 - » Industry required to comply with new guidance to reduce exposure → regulatory requirements
 - » Coal Workers' Health Surveillance Program (CWHSP)
 - Periodic chest radiographs
 - » Periodic medical surveillance to include:
 - Spirometry
 - Standardized respiratory questionnaire



Image Source:

<https://www.cdc.gov/niosh/mining/UserFiles/works/pdfs/2010-128.pdf>

Purpose of Spirometry

- Occupational medical surveillance tool
- Cross-sectional (single point in time) or longitudinal (over time) analysis
- Measures how much (volume) and how fast (flow) air moves into and out of lungs
- Early identification of lung disease caused by occupational hazards
- Identify workers with excessive FEV1 decline
 - » Respiratory morbidity
 - » Loss of productivity at an earlier age
 - » Mortality

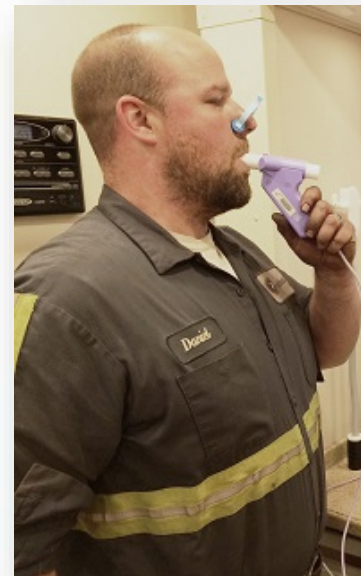
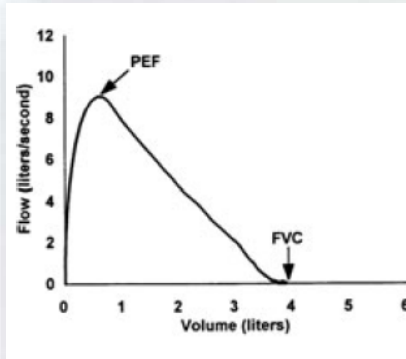


Image Source:
<https://www.cdc.gov/niosh/topics/cwhsp/coalminerhealth.html>

Spirometry Overview

- Flow-volume curve

- » Emphasizes the **start of test**
- » Rising rapidly to sharp peak
- » Descending to zero flow



- Volume-time curve

- Emphasizes the **end of test**
- Rising rapidly
- Gradually flattening out to plateau

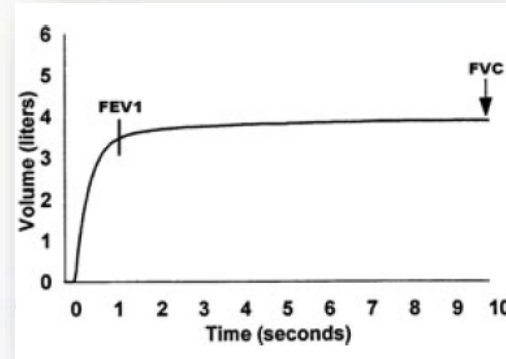


Image Source: https://www.acoem.org/uploadedFiles/Public_Affairs/Policies_And_Position_Statements/ACOEM%20Spirometry%20Statement.pdf

Spirometry: Measurements

- FVC – Forced Vital Capacity
 - » Maximum volume of air that can be exhaled forcefully after a maximal inspiration
 - » Reduced in restrictive disease and severe obstructive disease
- FEV1 – Forced Expiratory Volume in 1 second
 - » Volume of air exhaled forcefully during the first second of expiration after a maximal inspiration
 - » Best indicator of air moving through airways
 - » Reduced in obstructive disease or if FVC is reduced
- FEV1/FVC – Ratio of FEV1 to FVC
 - » Expressed as a percent (%): $(FEV1/FVC) \times 100$
 - » In healthy people, >70% of FVC exhaled in first second
 - » Reduced in obstructive disease, best indicator of obstructive disease

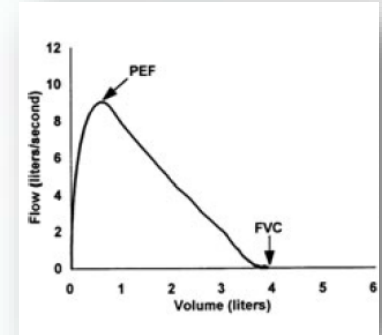
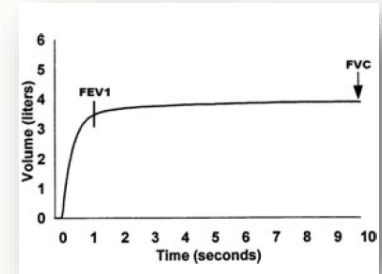


Image Source: https://www.acoem.org/uploadedFiles/Public_Affairs/Policies_And_Position_Statements/ACOEM%20Spirometry%20Statement.pdf

Spirometry: Reference Values

- Lower Limit of Normal (LLN)
 - » Threshold below which a value is considered abnormal
 - » 5% of reference population is below the LLN
 - » ATS/ERS recommend using LLN to differentiate between normal from abnormal rather than using a fixed value (e.g., 80% of predicted FEV₁ or FVC and 0.70 for the observed ratio of FEV₁/FVC)
- Longitudinal Limit of Decline (LLD)
 - » Relative limit set for longitudinal annual FEV₁ decline
 - » Limit is calculated using statistical methods (software available)
 - » Facilitates interpretation of annual longitudinal changes in FEV₁
 - » If FEV₁ < LLD, then observed FEV₁ decline may be excessive



ATS Recommendations

- FEV1 decline of **15% or more over a year (15% rule)** in otherwise healthy individuals is considered “significant,” beyond what would be expected from typical variability
- In 2014, the ATS recommended three approaches for longitudinal analysis
- Approaches to detect **excessive FEV1 decline**:
 1. A 15% decline from baseline FEV1, plus expected age-related loss
 2. Limit of Longitudinal Decline
 3. Linear Regression



Calculating Excessive FEV1 Decline

Approaches:

1. A 15% decline from baseline FEV1 (plus expected age-related loss)

» Percent Predicted Method

- **Calculation of threshold:** Baseline (initial) FEV1% predicted minus current FEV1% predicted
- **Interpretation:** If $\geq 15\%$, then observed decline in FEV1 may be excessive

» Volume Method

- **Calculation of threshold:** Baseline (initial) predicted FEV1 minus current predicted FEV1 plus $(0.15 \times \text{baseline FEV1})$
- **Interpretation:** If observed change in FEV1 (FEV1 baseline minus FEV1 follow up) $>$ threshold, then FEV1 decline may be excessive



Calculating Excessive FEV1 Decline

Approaches (continued):

2. Limit of Longitudinal Decline (LLD)

- **Calculation of threshold:** Calculate LLD using available software
- **Interpretation:** If current FEV1 < LLD threshold, then FEV1 decline may be excessive

3. Linear Regression

- **Calculation of threshold:** Use linear regression software to calculate FEV1 slope (ml/yr) using all available spirometry results over time
- **Interpretation:** Compare observed rate of FEV1 decline with rates of decline associated with adverse health outcomes (>60-90 ml/yr)



Lung Function Decline

- Primary measurement for assessment is FEV1
 - » FEV1 is less affected by technical factors than FVC
- Lung function normally increases during childhood, before reaching a maximum
- Lung function begins to decline in the mid-20s to mid-30s

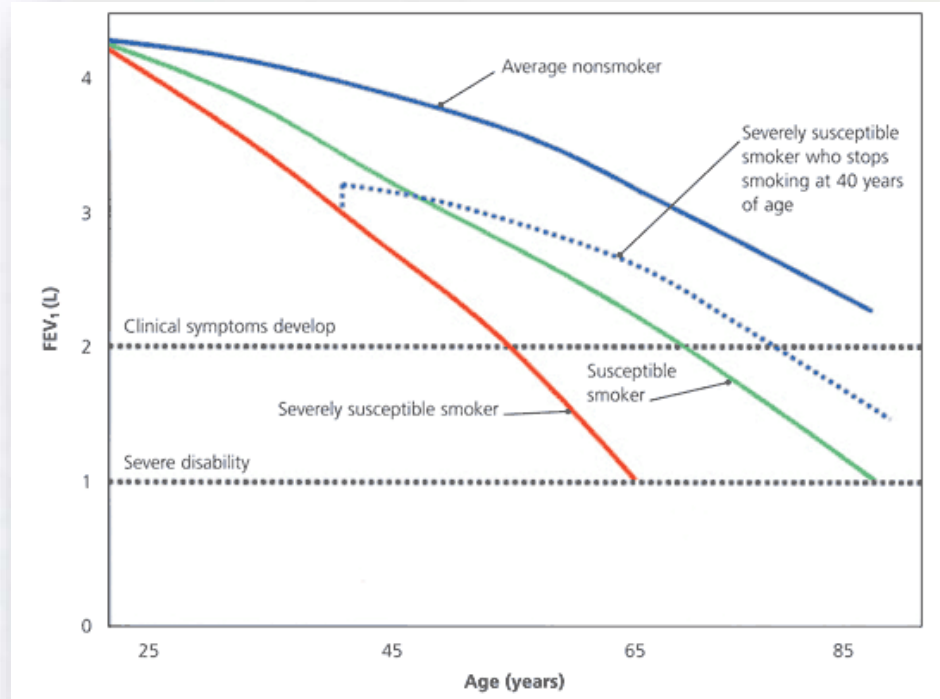


Lung Function Decline

- Average rate of decline
 - » 29 ml/yr
 - » Affected by:
 - Occupational exposures
 - Cigarette smoking
 - Weight gain
 - General lack of fitness
 - Gender
 - Age
- Accelerated lung function decline
 - » >60-90 ml/yr
 - » Associated with:
 - ↑ morbidity
 - ↑ mortality



Lung Function Decline Over Time



Source: <https://www.aafp.org/afp/2006/0215/p669.html>

Clinical Implications for Longitudinal Evaluation

- Detect **excessive** decline in lung function
- Early identification to an exposure
- Early identification of an underlying condition
- Detect progressive lung disease at an earlier stage
- Help providers make decisions about respiratory health
- Help providers make decisions about the need for medical referrals
- Prevent increased respiratory morbidity, loss of productivity at an earlier age, and increased mortality



Intervention Measures

- Individual

- » ↓ Inhalation of hazardous particulates and gases
 - Smoking cessation
 - ↓ Occupational exposures to resp hazards (engineering controls, administrative controls, PPEs)
- » Weight Gain (BMI >25)
- » Education and Training

- Group / Company

- » Integrated worksite health and safety program
 - Healthy workforce
 - Smoking cessation
 - Weight management
- » Education and Training





2018 NATIONAL CONFERENCE | APRIL 16-18, 2018



How does your occupational health practice evaluate for excessive lung function decline?

Voice of the Customer

- **Patient:** *“I have confidence that the doctor would know if I was developing a lung problem.”*
- **Occ Health:** *“I’m not confident that with the current 15% analysis method, I can predict a dangerous trend in a patient’s lung condition.”*
- **Commanding Officer:** *“I wonder if our Occupational Health Clinic is using the latest techniques for spirometry analysis to keep our firefighters healthy.”*
- **Pulmonology:** *“Longitudinal data analysis would give us further perspective into the disease process.”*



Gaps in Clinical Practice

- In 2014, ATS recommends longitudinal lung function monitoring to detect early signs of excessive lung decline
- Efficient clinical tool for longitudinal lung function monitoring is available for clinical application
- Limited educational resources to train providers on how to evaluate and interpret longitudinal spirometry
- Lack of knowledge of a tool available for clinical application to lung function longitudinal monitoring



Existing Solutions

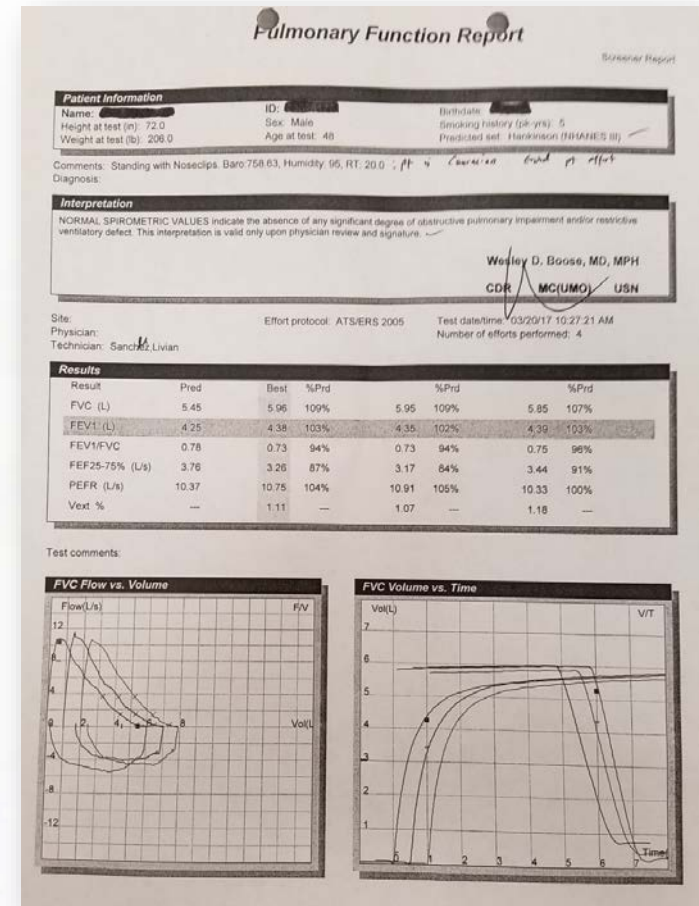
- Manually plotting trends
 - » Time consuming, increase chance of error/inaccuracy
- 15% method – compare current data to baseline
 - » May miss subtle lung disease presentations
- Linear regression – compare current data to baseline
 - » Requires building database and calculating best-fit line
 - » Does not provide group comparison or program quality analysis.
 - » Time consuming, not practical in a busy clinic.
- Spirometry analysis software – calculate LLD and linear regression



Existing Solutions

(Current Methodology)

- 48 yo M Firefighter presents for annual physical exam
- PMH/PSHx: Nothing significant
- Meds: None
- Allergies: NKDA
- Soc Hx: 5 smoking pack years, quit in 20s
- Occ Hx:
 - » Federal CIV Firefighter for 24 yrs
 - » Air Force Active Duty Firefighter for 4 yrs



Existing Solutions

(SPIROLA Analysis of Same Patient)

- 48 yo M Firefighter presents for annual physical exam
- PMH/PSHx: Nothing significant
- Meds: None
- Allergies: NKDA
- Soc Hx: 5 smoking pack years, quit in 20s
- Occ Hx:
 - » Federal CIV Firefighter for 24 yrs
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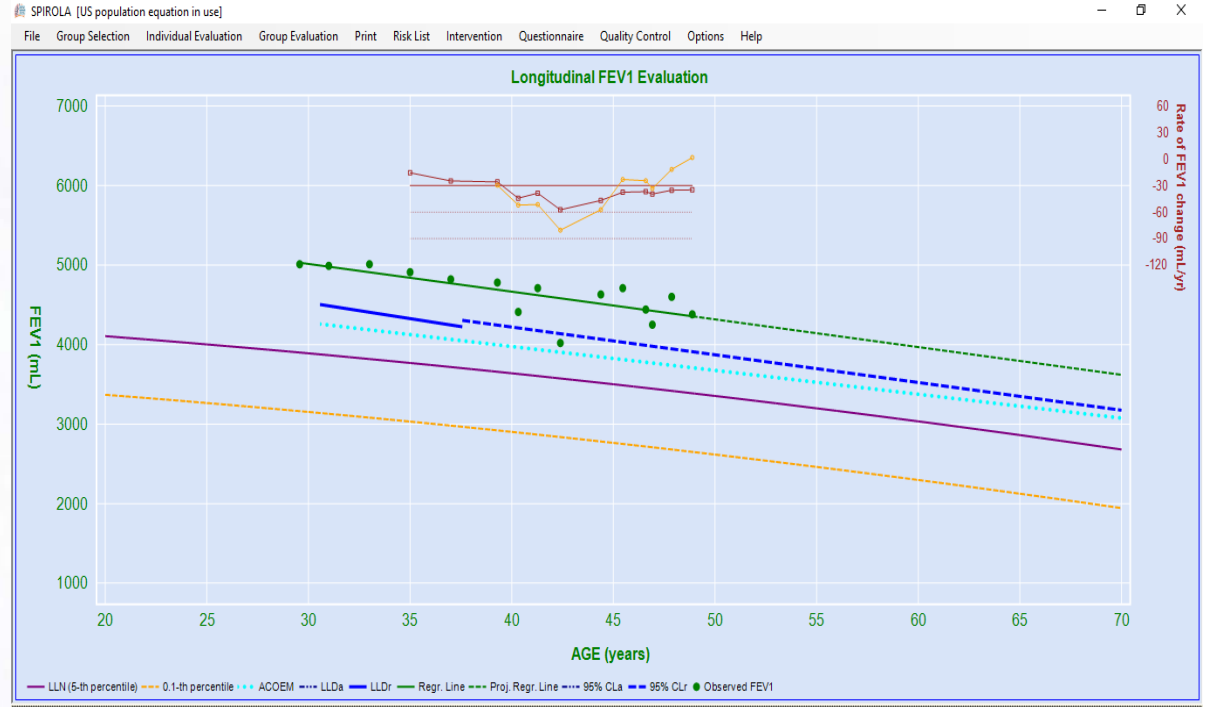
ID:	S1771
Sex:	Male
Race:	White
Age:	48 years old
Height (Mean):	182.9 cm
Date of last test:	3/20/2017
Years of follow-up:	19 years and 3 months
Results of analysis:	
Last observation:	
Rate of FEV1 decline:	Overall: 35 mL/year, 95% CI (9, 44) Last 8 years: -2 mL/year, 95% CI (-60, 56) Rate of decline is decreasing
Rate of FVC decline:	Overall: 26 mL/year, 95% CI (9, 44) Last 8 years: -21 mL/year, 95% CI (-60, 56) Rate of decline is decreasing
FEV1 within-person variation:	206 mL; 4.1%; (normal <= 5%);
FVC within-person variation:	202 mL; 3.2%; (normal <= 5%);
FEV1 group within-person variation:	197 mL; 5.6%; (normal <= 5%);
FVC group within-person variation:	217 mL; 5.4%; (normal <= 5%);
Interpretation and suggested actions:	
No abnormal findings.	

Existing Solutions

(SPIROLA Analysis of Same Patient)

Color Key

- Lower Limit of Normal (LLN)
 - (Purple solid line)
- Limit of Longitudinal Decline (LLD)
 - (Blue solid line)
- Regression Line
 - (Green solid line)
- Projected Regression Line
 - - - (Green dashed line)



Existing Solutions

- No specialized off-the-shelf commercial applications available
- SPIROLA Software developed by NIOSH
 - » Designed to perform longitudinal lung functional analysis in clinic and office setting
 - Calculates limit of longitudinal decline (LLD)
 - Most accurate with 5+ years of follow up data
 - Can assess individuals and groups
 - Can compare testing quality across PFT testers



Root Cause Analysis

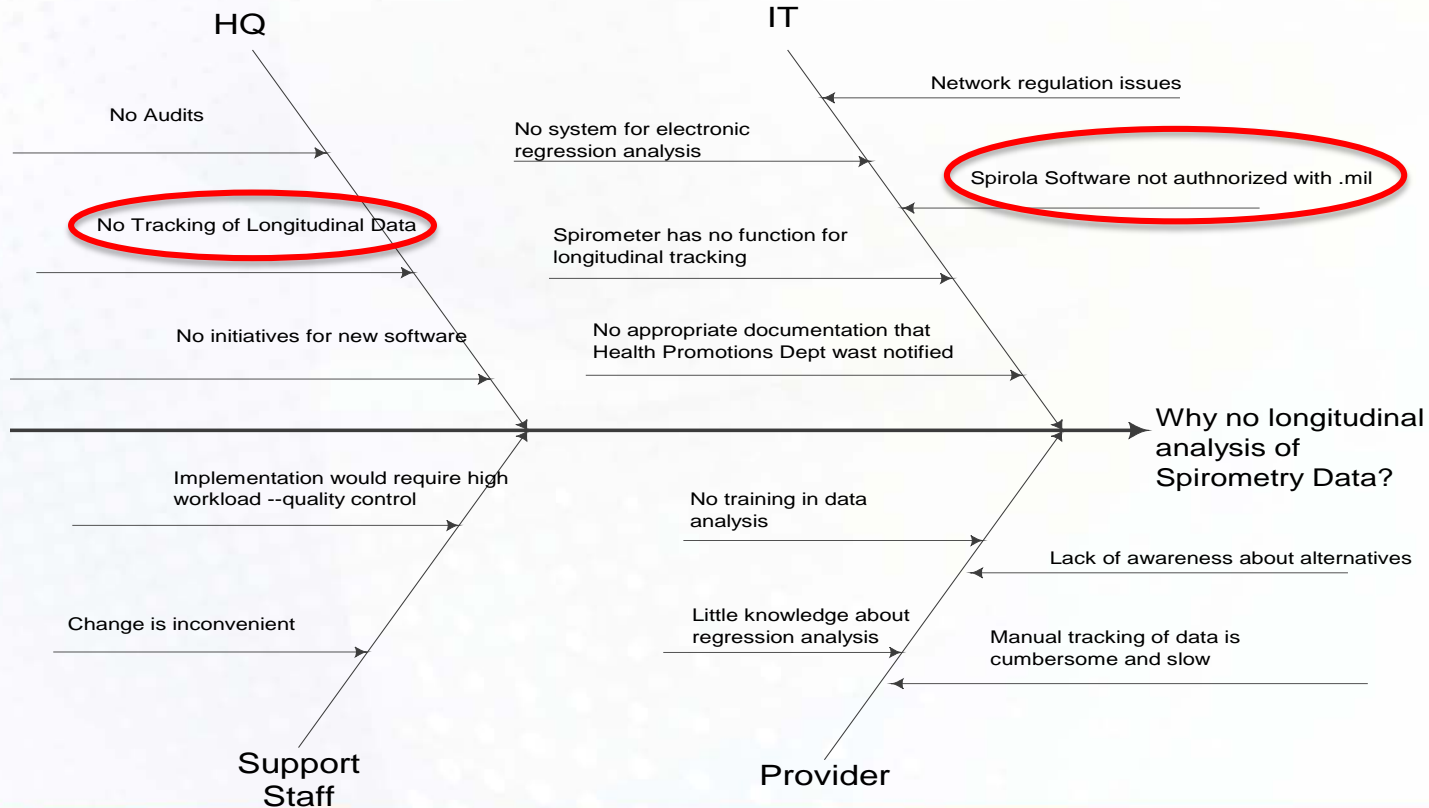
- Why is there currently no longitudinal analysis of spirometry data?
 - » Current system has no function for regression analysis – all done manually with pen and paper
 - » **No enforcement (audit) for longitudinal data analysis**
 - » No clinical training in regression analysis
 - » Network restrictions on new software
 - » No available commercial programs with direct application to spirometry

MEDICAL RECORD - SUPPLEMENTAL MEDICAL DATA
For use of this form, see requiring document. Form is not valid without Requiring Document, Issuance Date, Local Form Number, and Edition Date.

REQUIRING DOCUMENT (Title and Number) OPNAVINST 5100.23G						ISSUANCE DATE DEC 2005		
LOCAL FORM TITLE (Optional) PULMONARY FUNCTION FLOW SHEET								
Name		DOD ID#		DOB				
GENDER		HEIGHT		RACE				
BASELINE (INITIAL) TEST				Reference Value Set Used: NHAMES III				
DATE	SPIROMETER TYPE PT. POSITION	AMB. TEMP BTPS CORRECTION	FEV1 (BTPS)		FVC (BTPS)		FEV1 % FVC	BASELINE PATTERN
			OBSERVED	PREDICTED	OBSERVED	PREDICTED		NORMAL RESTRICTIVE OBSTRUCTIVE MIXED
STAND	SIT		% PREDICTED		% PREDICTED			
% CHANGE (1) CURRENT RESULT (2) BEST PREVIOUS RESULT (3) BEST PREVIOUS RESULT (3) 100 % (3)100 CHANGE (1) CURRENT RATIO (2) BEST PREVIOUS RATIO								
BEST TEST								
SPIROMETER TYPE	AMB. TEMP	DATE	% CHANGE	DATE	% CHANGE	FEV1 % FVC	COMMENTS	
PT. POSITION	BTPS CORRECTION	FEV1 (BTPS)		FVC1 (BTPS)				
FOLLOW-UP RESULTS								
DATE	SPIROMETER TYPE PT. POSITION	AMB. TEMP BTPS CORRECTION	FEV1 (BTPS)	% CHANGE	FVC1 (BTPS)	% CHANGE	FEV1 % FVC	COMMENTS NOISE CLIP Y N
								NOISE CLIP Y N
STAND	SIT							NOISE CLIP Y N
								NOISE CLIP Y N
STAND	SIT							NOISE CLIP Y N
								NOISE CLIP Y N
STAND	SIT							NOISE CLIP Y N
								NOISE CLIP Y N
PRACTITIONER'S NAME				PRACTITIONER'S SIGNATURE		DATE		
PATIENT'S IDENTIFICATION: (For typed or written entries, give: Name - last, first, middle; SSN; Sex; Date of Birth; Rank/Grade.)				HOSPITAL OR MEDICAL FACILITY		STATUS		
				DEPARTMENT / SERVICE		RECORDS MAINTAINED AT		
				SPONSOR'S NAME		SSN		
				RELATIONSHIP TO SPONSOR				

Source: U.S. Navy Medicine

Why is there no longitudinal data analysis?



Prioritized Solutions

- **Prioritized Solutions**
 - » Install SPIROLA Software
 - » Manually Perform linear regression analysis
 - » Continue using 15% rule
 - » Do nothing
- **Determine Measures of Success**
 - » % of patients that receive longitudinal data analysis
- **Identify Expected Results**
 - » Short term
 - Earlier detection of lung disease
 - Earlier identification of workplace exposures
 - Earlier identification of PPE effectiveness or compliance concerns
 - Safer workplace
 - » Long term: Protect the worker from further excessive lung function decline



Gap and Target

- No efficient, accurate method for longitudinal analysis
- No existing program in our industry with an efficient and accurate method
- Failure to track a patient's lung function could result in delayed diagnosis and treatment
- ATS guidelines recommend implementing a system to longitudinally follow the lung function of **patients at risk** for developing occupational related lung disease
- Target for an affordable, effective, practical, and sustainable solution
 - » In search for existing solutions, SPIROLA was identified

SPIROLA

- SPIROLA identified as best solution for longitudinal data analysis
 - » Low Cost → free for use by public
 - » Accuracy → testing in clinic using actual data
 - » Reliability → pilot testing shows high reliability
 - » Testability → all systems were testable prior to complete conversion
 - » User-Friendly → easy to install, application requires no special training
 - » **Usability on Network → DHA approved for use on DoD network**

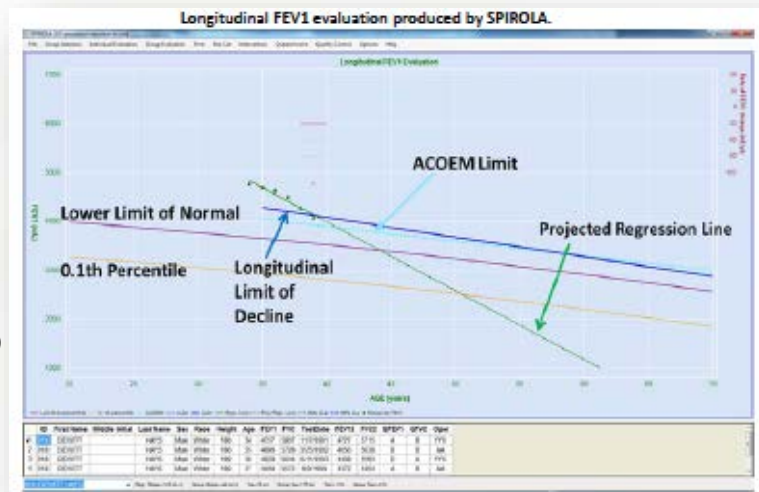


Image Source:

<https://www.cdc.gov/niosh/topics/spirometry/spirola-software.html>

SPIROLA

- **FREE** downloadable Longitudinal Data Analysis Software (NIOSH)
- Integrated visual, quantitative tool for monitoring lung function over time
- Monitors quality of spirometry test
- Monitors longitudinal data precision
- Determines if an individual has excessive lung function decline
- Collect information on potential risk factors
- Plan, record, and evaluate the effect of intervention strategies

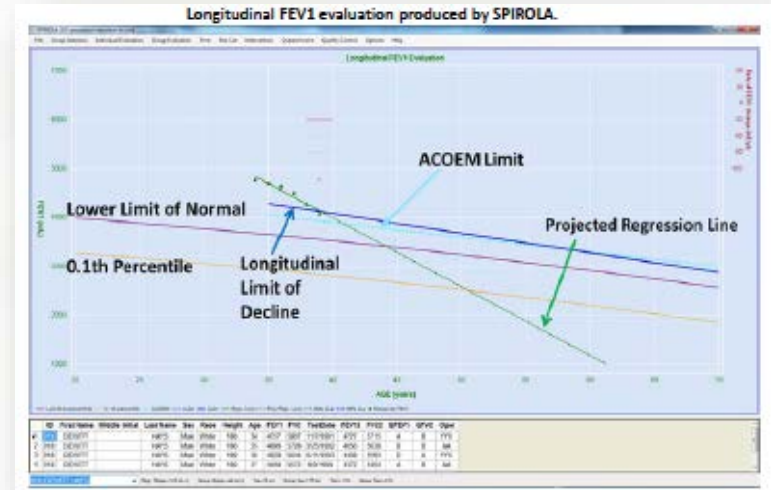


Image Source:

<https://www.cdc.gov/niosh/topics/spirometry/spirola-software.html>

SPIROLA

- **Individual Evaluation**

- » FEV1 and FVC changes over time
- » Most recent spirometry test results
- » Longitudinal changes in FEV1
- » Longitudinal FEV1 data variability
- » Individual report
- » Tags individuals for further evaluation

- **Group Evaluation**

- » “Risk List” – screens for and provides statistics on individuals in groups whose lung function level, decline, or variability may be abnormal
- » Monitors for
 - Longitudinal data precision
 - Group mean FEV1 and FVC values
 - Spirometry quality control
 - Screening for individuals with abnormal results



Risk List

- Screens for Individuals with excessive lung function decline or variation
- Screens for individuals whose most recent lung function values fall below LLN
- Identifies individuals at risk of developing respiratory impairment

Risk List

Summary

Total Individuals Screened: 83
 Total with 2 or More Observations: 80
 Selected Into Risk List: 50

Last Observation Below LLN

FEV1 Below LLN: 9(10.8%)
 FVC Below LLN: 15(18.1%)
 FEV1/FVC Below LLN: 8(9.6%)

FEV1 Excessive Decline or Variation

FEV1 Below LLD: 2(2.5%)
 Excessive FEV1 Slope: 14
 Excessive FEV1 Variation: 27

FVC Excessive Decline or Variation

FVC Below LLD: 19(23.8%)
 Excessive FVC Slope: 8
 Excessive FVC Variation: 23

Select Participants With

FEV1 Below LLD FVC Below LLD Excessive FEV1 Slope
 FEV1 Below LLN FVC Below LLN Excessive FVC Slope
 Excessive FEV1 Variation Excessive FVC Variation FEV1/FVC Below LLN

Intervention Plans Created: Any Date From 3/14/2017 to present

Number of Individuals Selected: 50

	ID	FEV1 Below LLD	Excessive FEV1 Slope	FEV1 Below LLN	FVC Below LLN	Ratio Below LLN	Excessive FEV1 Variation	FVC Below LLD	Excessive FVC Variation	Excessive FVC Slope	Intervention
1		0	0	0	1	0	0	0	0	0	--
2		0	0	1	1	0	1	0	1	0	--
3		0	0	0	0	1	0	0	0	0	--
4		0	0	0	0	0	1	0	0	0	--
5		0	0	1	0	1	0	0	0	0	--
6		0	0	0	0	1	0	0	0	0	--
7		0	1	0	0	0	1	1	1	1	--
8		0	1	0	0	0	0	0	0	0	--
9		0	0	0	0	0	1	0	1	0	--
10		0	1	0	0	0	0	0	1	0	--
11		1	0	0	0	0	0	1	1	0	--
12		0	0	0	0	0	1	1	1	0	--
13		0	1	1	1	0	1	1	1	1	--
14		0	0	0	0	0	0	1	0	0	--
15		0	0	0	0	1	1	1	1	0	--
16		0	0	0	0	0	1	1	1	0	--
17		0	1	0	0	0	0	0	0	1	--
18		1	0	0	0	0	1	0	0	0	--
19		0	0	0	1	0	1	1	1	0	--
20		0	0	0	0	0	1	1	1	0	--

Select Participant(s) | Select Participant(s) and Exit | Print List | Print Summary | Save to a Database | Exit



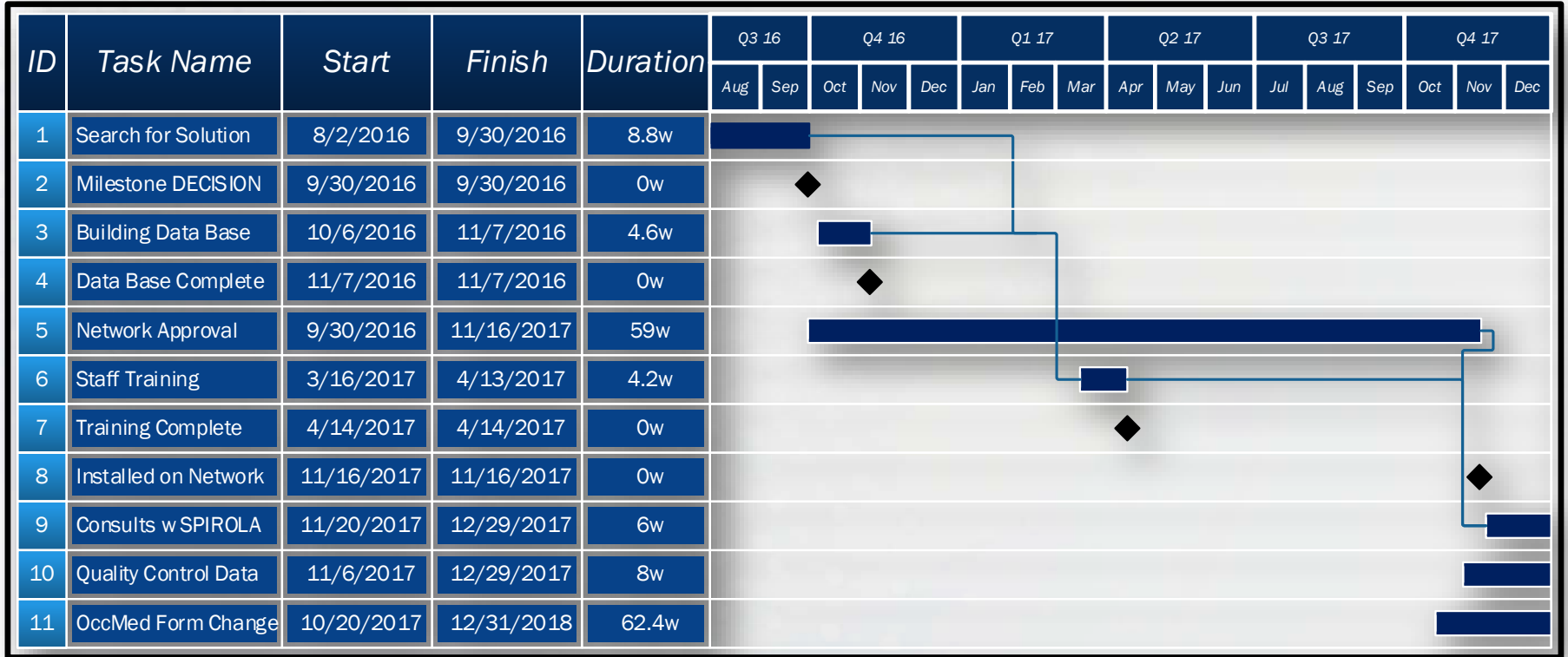
Implementation Plan

ACTION	RESPONSIBLE	DUE DATE
Search for viable solutions	Department Head	30 Sep 16
Network approval process (DHA)	Department Head	16 Nov 17
SPIROLA database build	Department Head	07 Nov 16
Build SOP and staff training	Clinic Manager	13 Apr 17
Quality check data entry	Clinic Manager	29 Dec 17
Request Occupational Medicine Form Change	Clinic Manager	31 Dec 17
Begin Patient Consults with SPIROLA	Occ Med Providers	29 Dec 17

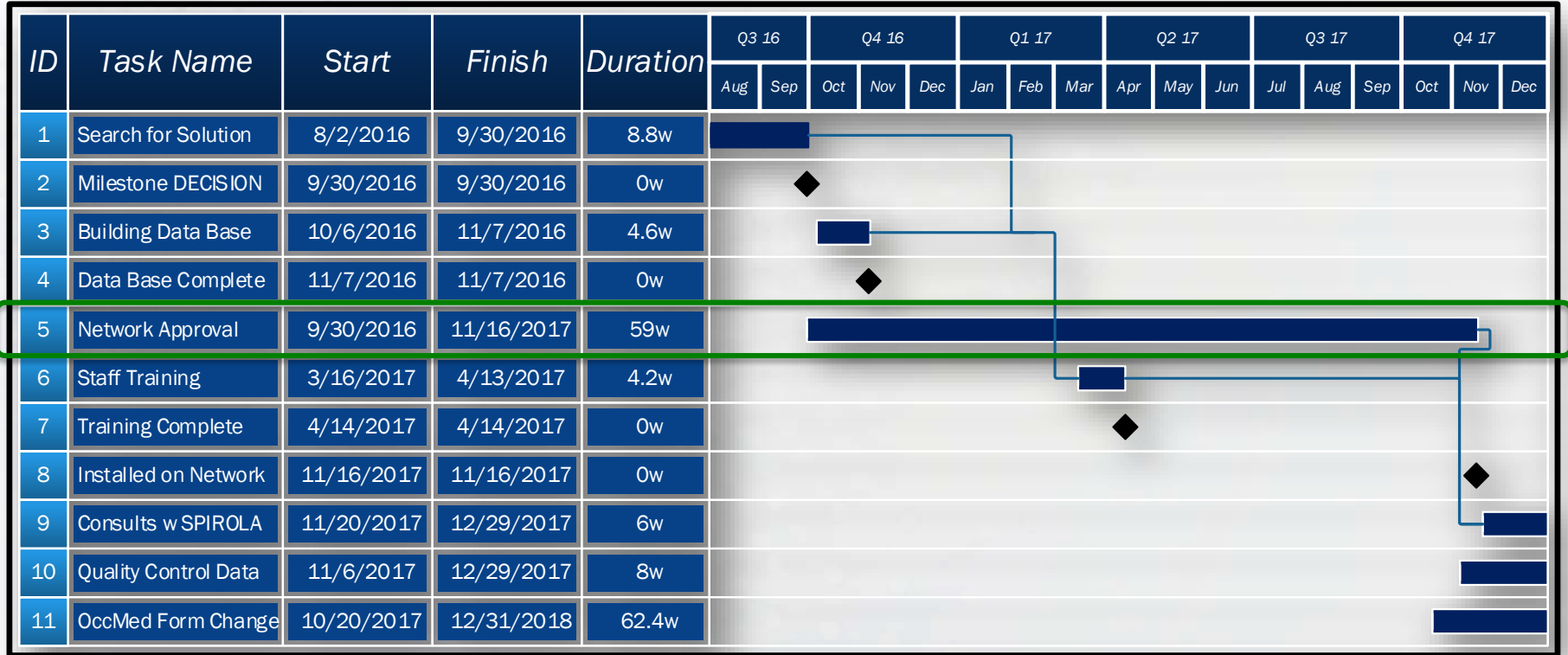
Stages of Implementation

STAGE	DATE	LENGTH OF TIME (months)
SPIROLA Software Network Approval	30 Sep 16 – 16 Nov 17	13.5
Database Build	06 Oct 16 – 07 Nov 16	1
Staff Training	16 Mar 17 – 13 Apr 17	1
Database QC	06 Nov 17 – 05 Dec 17	1
Occupational Medical Matrix Forms Change Request (to NMCPHC and NMW)	20 Oct 17 – Present	Pending

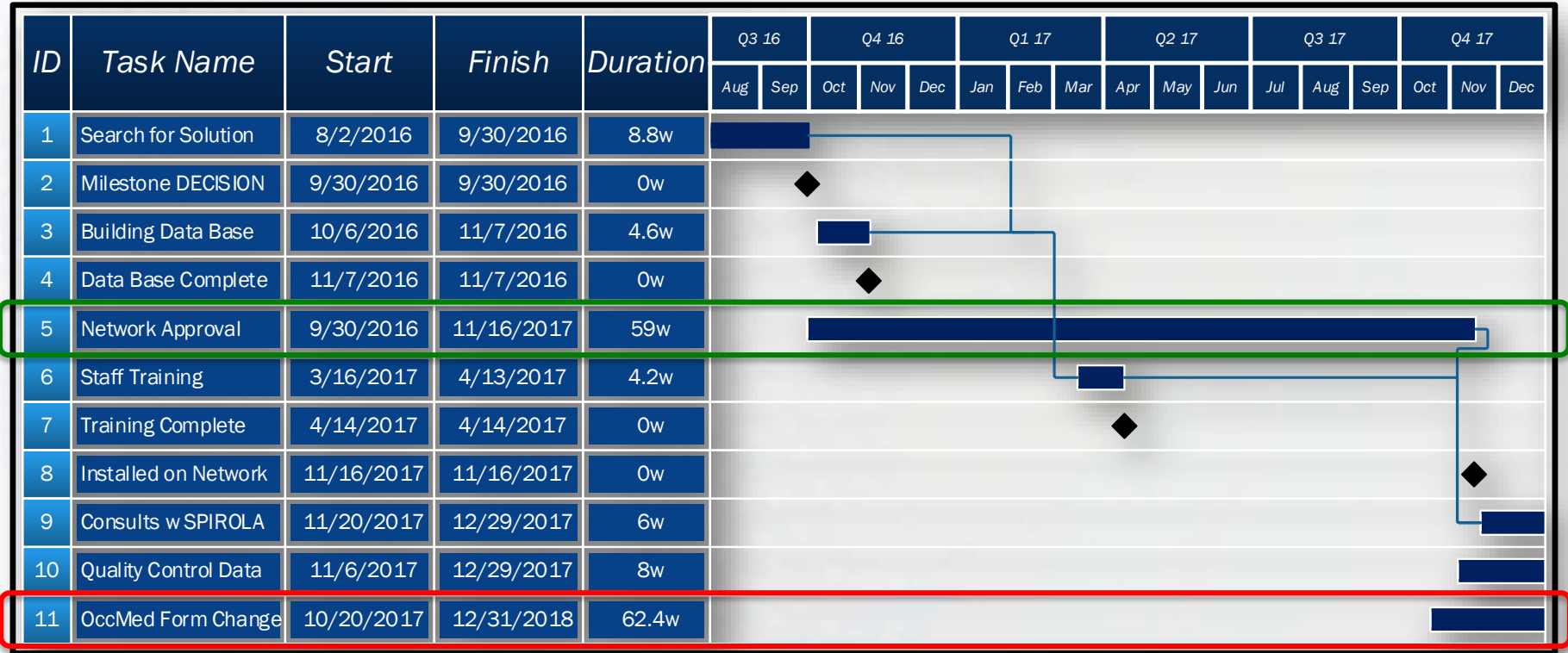
Implementation Timeline



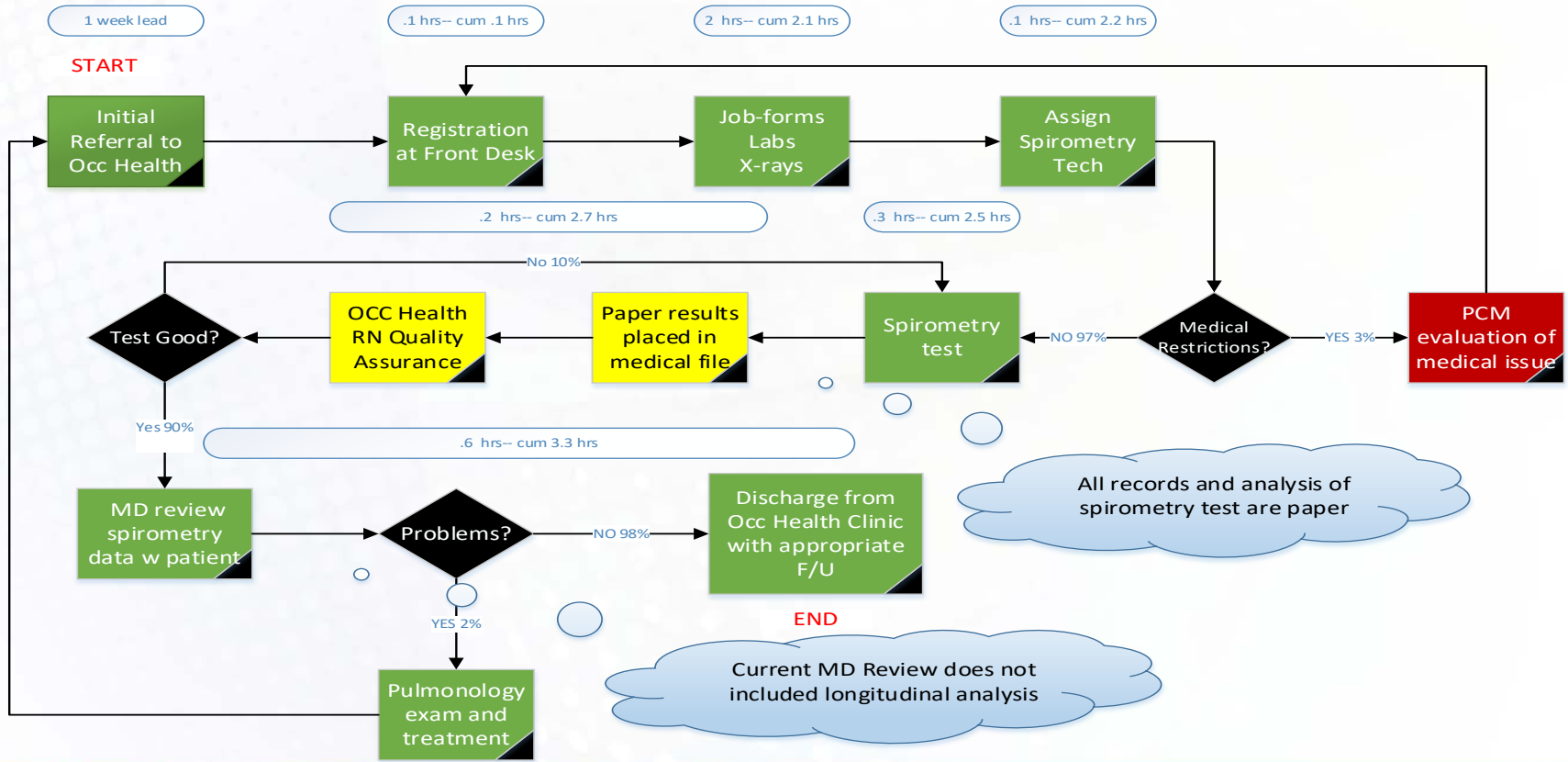
Implementation Timeline



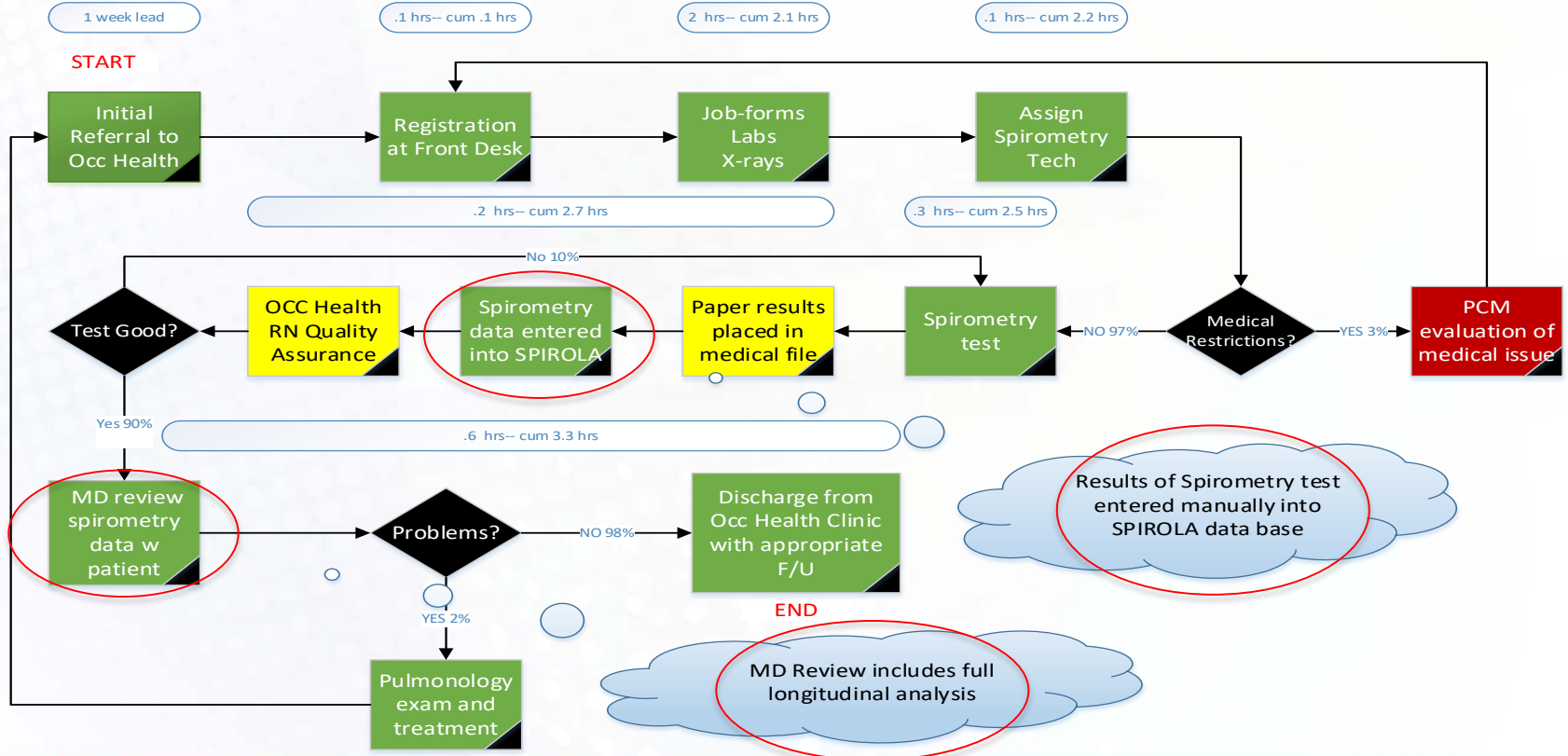
Implementation Timeline



State Before Implementation



(Ideal) State After Implementation



Access Database

- Database View
- Form View
 - Customized Fields
 - Data Entry Legend

The image displays two overlapping Microsoft Access windows. The background window, titled 'NBG FireFighters - Access', is in Database View, showing a table with columns: ID, First_Name, Middle_Initial, Last_Name, SEX, RACE, HEIGHT, AGE, BirthDate, TESTDATE, FVC, FEV1, FVC2, FEV12, Oper, and QFEV1. The foreground window, titled 'NBG Fire Fighters Spirometry Data - Access', is in Form View, showing a data entry form with fields for DOD ID#, First Name, Middle Init, Last Name, GENDER, RACE, HEIGHT (in), Birth Date, TEST DATE, Best FVC, Best FEV1, 2nd FVC, 2nd FEV1, and OHT. A 'Data Entry Legend' box is also present, defining GENDER (M - Male, F - Female) and RACE (C or W - Caucasian, A or B - African American, M or H - Mexican American, S - Asian-Pacific Islander). Two red circles highlight the 'Database View' and 'Form View' tabs at the bottom of the windows.

ID	First_Name	Middle_Initial	Last_Name	SEX	RACE	HEIGHT	AGE	BirthDate	TESTDATE	FVC	FEV1	FVC2	FEV12	Oper	QFEV1
1									11/22/2017	3.31	2.39	3.34	2.34 L		
2									12/12/2016	3.46	2.57	3.42	2.43 S		
3									12/3/2014	3.60	2.72	3.59	2.69 A		
4									11/6/2013	3.76	2.72	3.66	2.69 A		
5									10/10/2012	3.73	2.76	3.72	2.75 A		
6									11/9/2011	3.97	3.00	3.97	2.96 E		
7									11/22/2010	3.73	2.79	3.72	2.74 U		
8									11/19/2009	3.91	2.98	3.86	2.92 U		

Results and Follow Up

- By Dec 2017 (13.5 months)
 - » All patient spirometry data entered
 - » 100% of patients scheduled received longitudinal data analysis using SPIROLA
 - » Data control plan involved QC of data entry



Implementation Benefits

- Supports Organization goals of personnel readiness, health and safety
- Efficient and cost-effective
- Approach may be applied at any Occupational Health Clinic (DoD or Civilian)



Recommendations for Future Implementation





- Obtain SPIROLA Software from NIOSH
 - » SPIROLA Web-Based Application in pilot stages
- Contact local IT for installation on network
 - » Already approved and authorized for DoD use
- Building the Database
 - » Approximately 30 days and 80 man-hours
 - » Quality check every record after build
- Train all clinic personnel
 - » Approximately 30 days
- Start Clinical Use

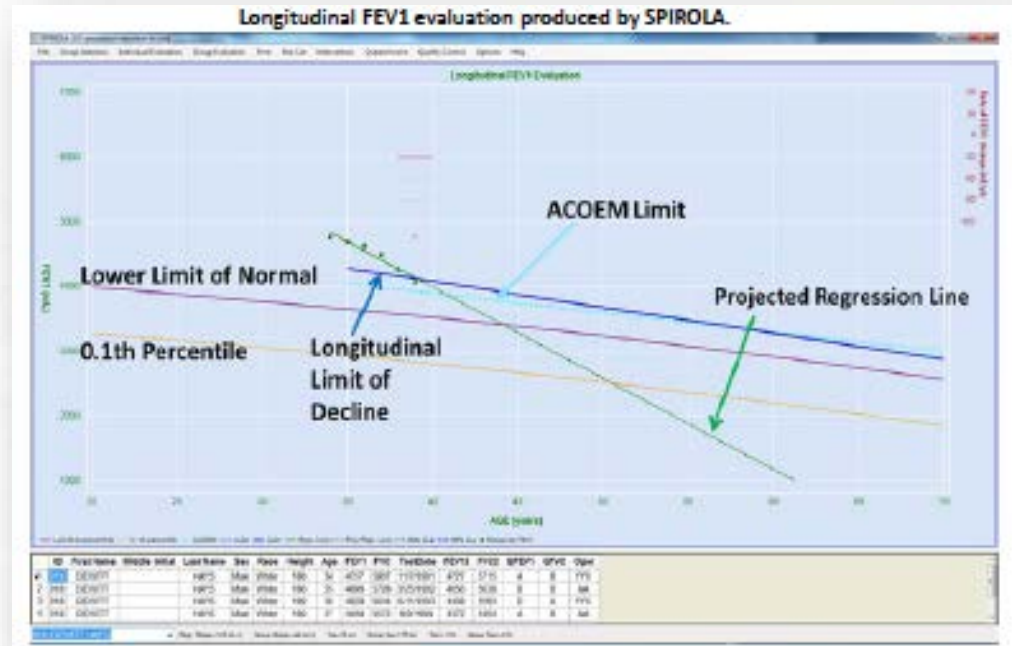




CASE STUDIES

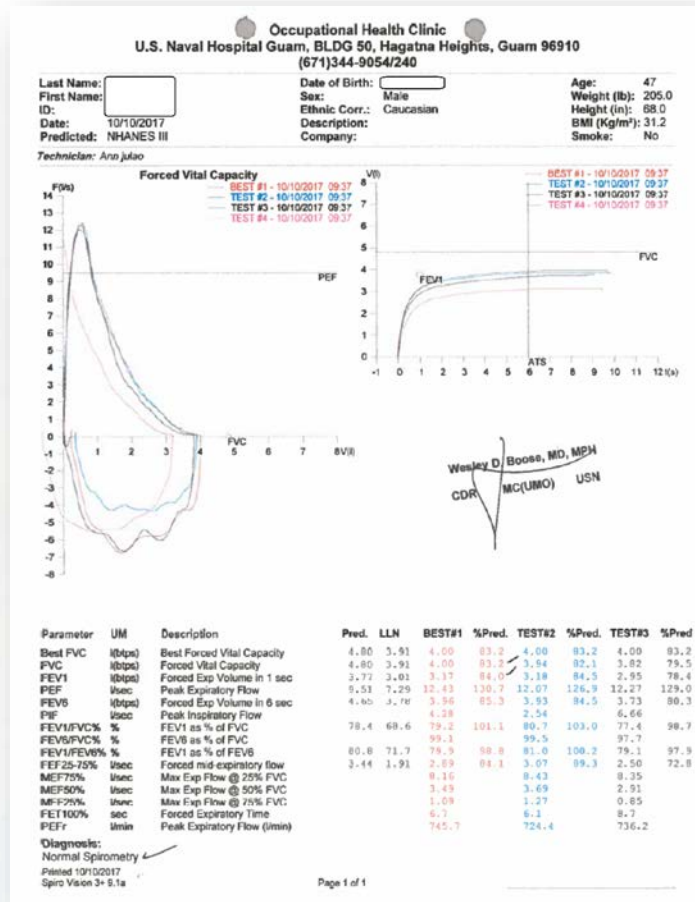
Color Key

- Lower Limit of Normal (LLN)

- Limit of Longitudinal Decline (LLD)

- Regression Line

- Projected Regression Line


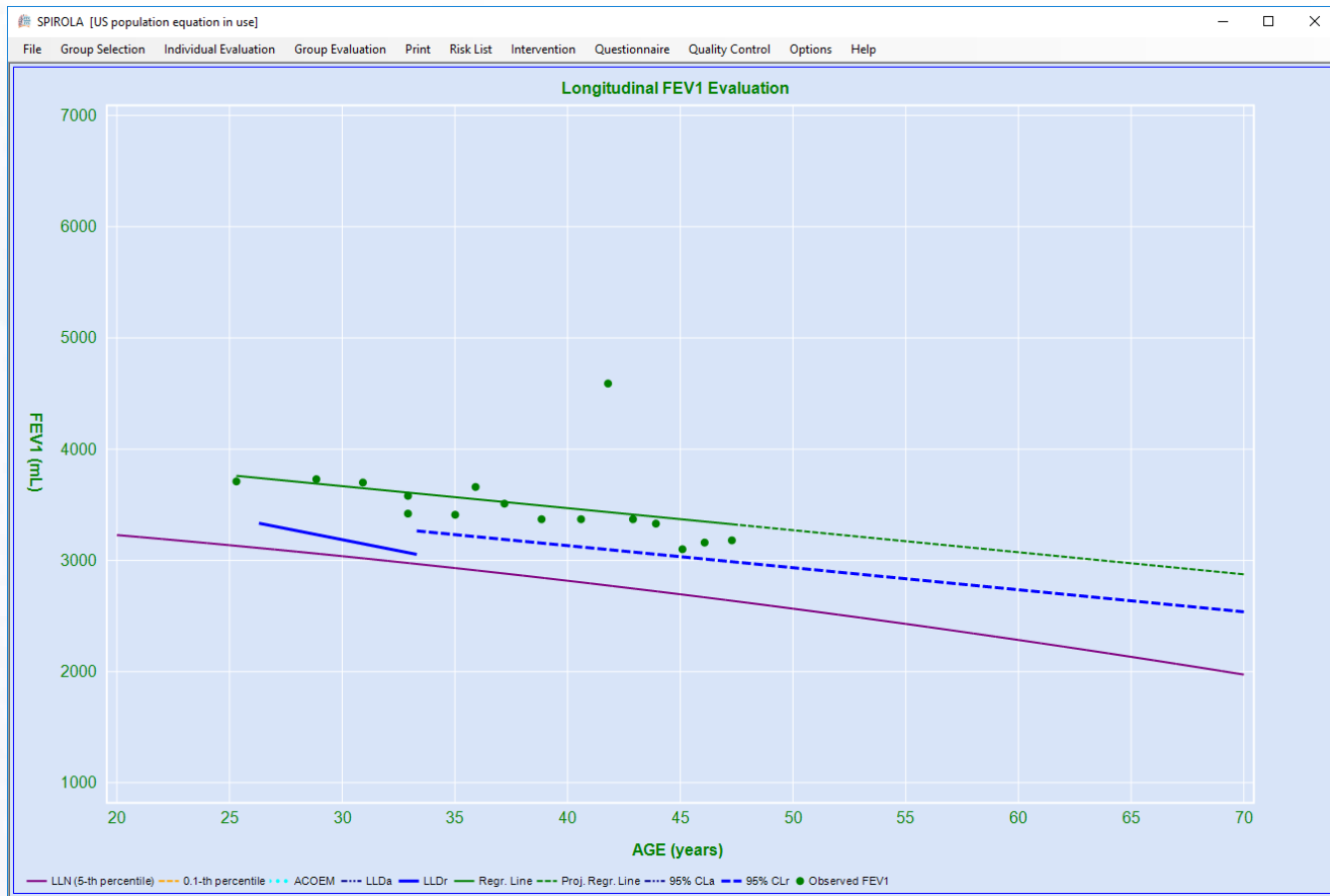


Case 1

- 47 yo Firefighter presents for annual physical
- PMH/PSHx: GERD
- Weight gain: 19lbs, BMI: 31
- Meds: Vitamins
- Allergies: NKDA
- Soc Hx: Never smoked, chewing tobacco
- Occ Hx:
 - » Federal CIV Firefighter for 22 years
 - » Work Exposures: Nothing significant
- CXR: Normal
- % Predicted and LLN with Asian Ethnic Correction:
 - » FVC: 4.00 (95%), FEV1: 3.17 (96%), FEV1/FVC%: 79.2%
 - » LLN: FVC = 3.44, FEV1 = 2.65



Case 1



Case 1

Sex: Male
Race: Asian-American
Age: 47 years old
Height (Mean): 172.2 cm
Date of last test: 10/10/2017
Years of follow-up: 21 years and 10 months

Results of analysis:

Last observation: FVC below 95% CL for the regression line;
Rate of FEV1 decline: Overall: 20 mL/year, 95% CI (-41, 39)

Last 8 years: 65 mL/year, 95% CI (-55, 185)
Rate of decline is increasing

Rate of FVC decline:

Overall: -1 mL/year, 95% CI (-41, 39)
Last 8 years: 90 mL/year, 95% CI (-55, 185)
Rate of decline is increasing

FEV1 within-person variation: 335 mL, 9%, (normal <= 5%);

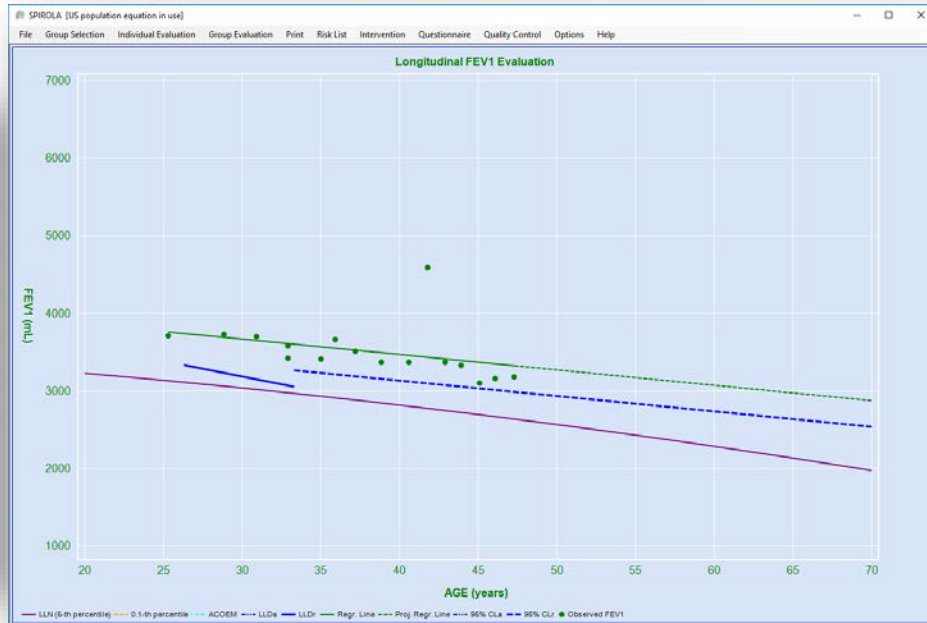
FVC within-person variation: 545 mL, 12.7%, (normal <= 5%);

FEV1 group within-person variation: 186 mL; 5.3%; (normal <= 5%);

FVC group within-person variation: 214 mL; 5.2%; (normal <= 5%);

Interpretation and suggested actions:

- The within-person variation for FEV1 or FVC > 5% can be due to lack of spirometry quality control. Consider correcting data errors before interpretation. Occupational exposure, asthma, or personal factors can increase data variability.
- If confirmed that FVC < 95% CL for the regression line, consider re-testing in near future.



Case 1

(excluding 4/2012
observation from analysis)



Case 1

(excluding 4/2012 observation from analysis)

Sex: Male
 Race: Asian-American
 Age: 47 years old
 Height (Mean): 172.2 cm
 Date of last test: 10/10/2017
 Years of follow-up: 21 years and 10 months

Results of analysis:

Last observation:

Rate of FEV1 decline: Overall: 28 mL/year, 95% CI (3, 20)
 Last 8 years: 31 mL/year, 95% CI (11, 52)
 Rate of decline is increasing

Rate of FVC decline: Overall: 12 mL/year, 95% CI (3, 20)
 Last 8 years: 34 mL/year, 95% CI (11, 52)
 Rate of decline is increasing

FEV1 within-person variation: 92 mL; 2.5%; (normal <= 5%);

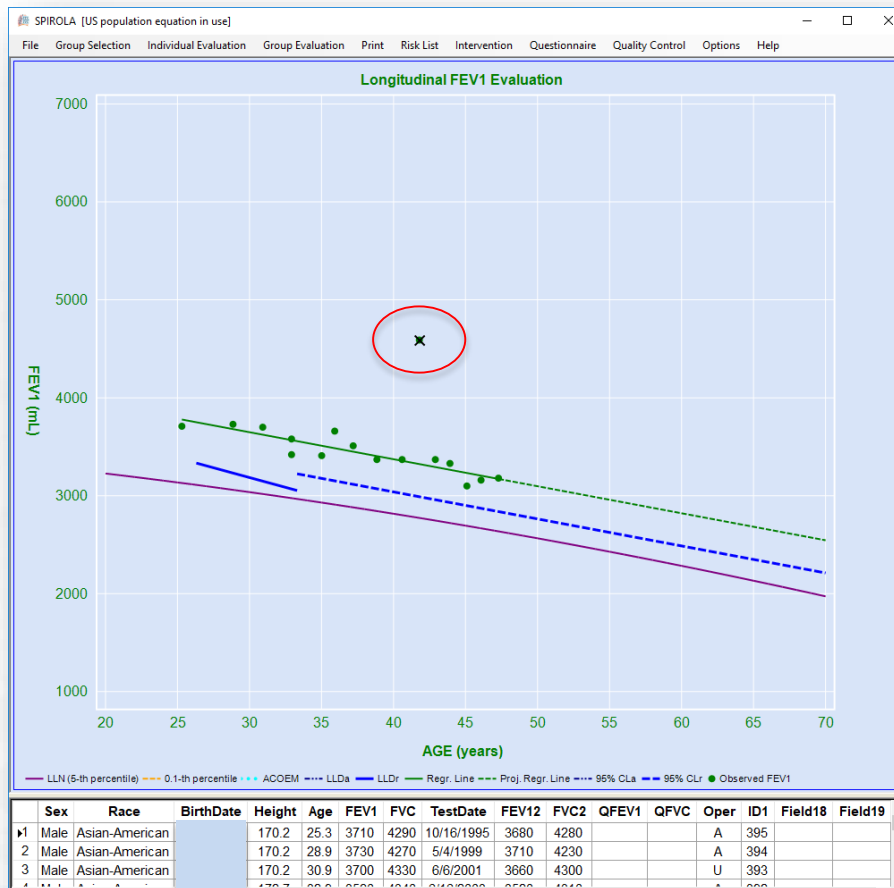
FVC within-person variation: 113 mL; 2.6%; (normal <= 5%);

FEV1 group within-person variation: 186 mL; 5.3%; (normal <= 5%);

FVC group within-person variation: 214 mL; 5.2%; (normal <= 5%);

Interpretation and suggested actions:

No abnormal findings.

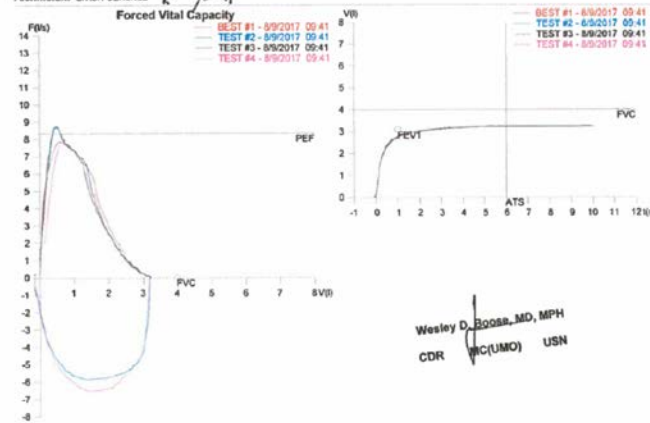


Case 2

- 54 yo Firefighter presents for annual physical
- PMH/PSHx: 1966 LTBI s/p INH Tx, HLD
- Weight gain: 13lbs, BMI: 30
- Meds: Crestor
- Allergies: NKDA
- Soc Hx: 10 smoking pack years
- Occ Hx:
 - » Federal CIV Firefighter for 27 years
 - » Work Exposures: 1991 Occupational back injury
- CXR: Normal
- % Predicted and LLN with Asian Ethnic Correction:
 - » FVC: 3.29 (94%), FEV1: 2.84 (105%), FEV1/FVC%: 86.4%
 - » LLN: FVC = 2.81, FEV1 = 2.12

Last Name: [Redacted] Date of Birth: [Redacted] Age: 54
 ID: [Redacted] Sex: Male Weight (lb): 175.1
 Date: 8/9/2017 Ethnic Corr.: Caucasian Height (in): 64.0
 Predicted: NHANES III Description: Company: BMI (kg/m²): 30.0
 Smoke: Yes(10/10)

Technician: Livian Sanchez

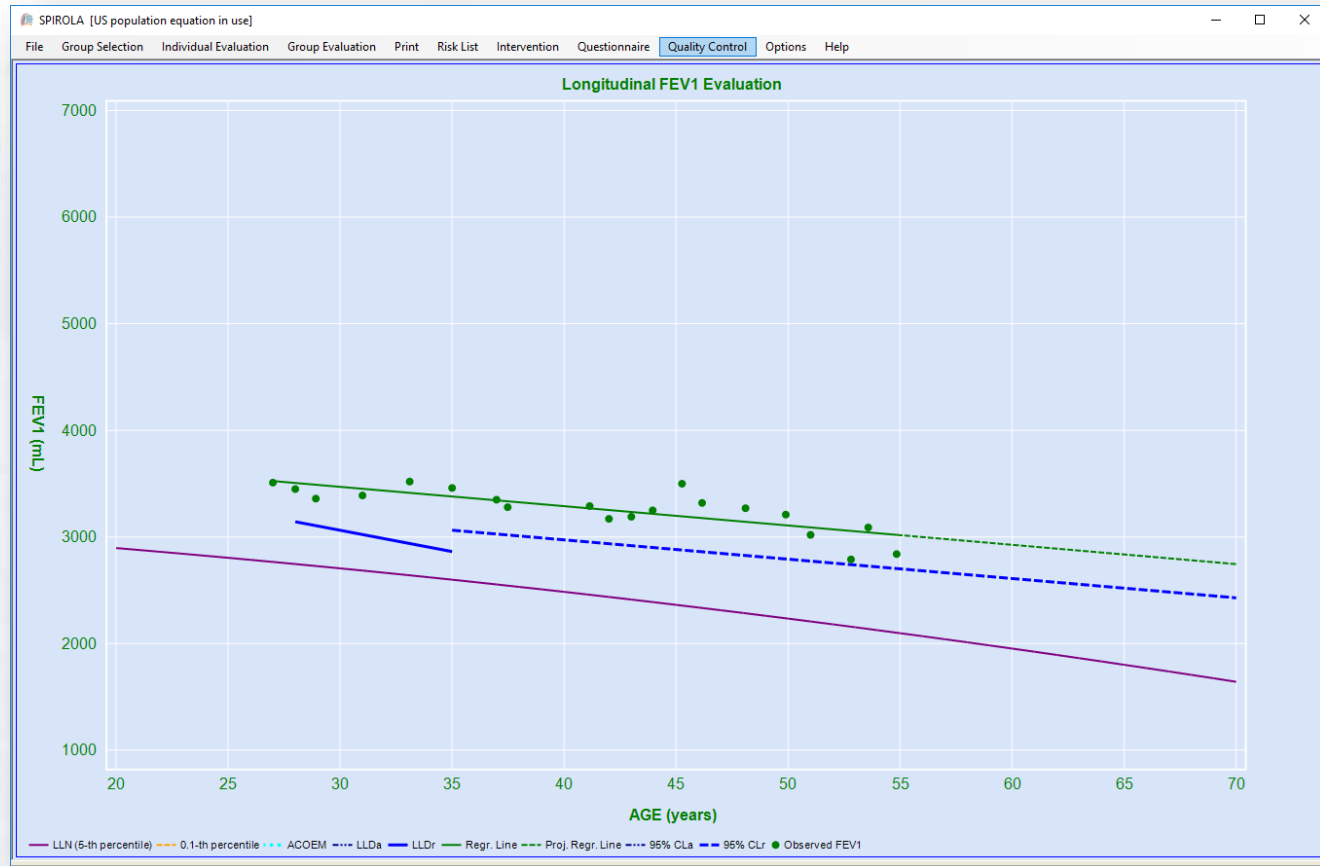


Wesley D. Boosa, MD, MPH
CDR USN

Parameter	UM	Description	Pred.	LLN	BEST#1	%Pred.	TEST#2	%Pred.	TEST#3	%Pred.
Best FVC	(l)ps	Best Forced Vital Capacity	3.95	3.19	3.29	82.5	3.29	82.5	3.29	82.5
FVC	(l)ps	Forced Vital Capacity	3.95	3.19	3.29	82.5	3.24	81.2	3.25	81.5
FEV1	(l)ps	Forced Exp Volume in 1 sec	3.08	2.41	2.84	92.4	2.79	90.6	2.75	89.4
PEF	l/sec	Peak Expiratory Flow	8.33	6.36	7.87	94.5	8.69	104.4	8.76	105.2
PIF	l/sec	Peak Inspiratory Flow								
FEV1/FVC%	%	FEV1 as % of FVC	76.9	67.1	86.4	112.4	86.1	111.9	84.6	110.0
FEF25-75%	l/sec	Forced mid-expiratory flow	2.74	1.39	3.81	139.1	3.49	127.5	3.25	118.6
MEF75%	l/sec	Max Exp Flow @ 25% FVC			7.68		7.74		7.55	
MEF50%	l/sec	Max Exp Flow @ 50% FVC			5.07		4.60		3.97	
MEF25%	l/sec	Max Exp Flow @ 75% FVC			1.43		1.31		1.23	
FET100%	sec	Forced Expiratory Time			4.9		4.6		5.0	
PEFR	l/min	Peak Expiratory Flow (l/min)			472.1		521.5		525.9	

Diagnosis: Normal Spirometry
 Notes: PI is a pacific islander
 Spiro Vision 3-9.1a
 Good pt effort and cooperation
 8/9/2017
 Page 1 of 1

Case 2



Case 2

Sex: Male
Race: Asian-American
Age: 54 years old
Height (Mean): 162.6 cm
Date of last test: 8/9/2017
Years of follow-up: 27 years and 9 months

Results of analysis:

Last observation: FVC below 95% CL for the regression line;
Rate of FEV1 decline: Overall: 18 mL/year, 95% CI (-4, 17)

Last 8 years: 57 mL/year, 95% CI (27, 87)
 Rate of decline is increasing

Rate of FVC decline: Overall: 7 mL/year, 95% CI (-4, 17)
 Last 8 years: 72 mL/year, 95% CI (27, 87)
 Rate of decline is increasing

FEV1 within-person variation: 130 mL; 3.7%; (normal \leq 5%);

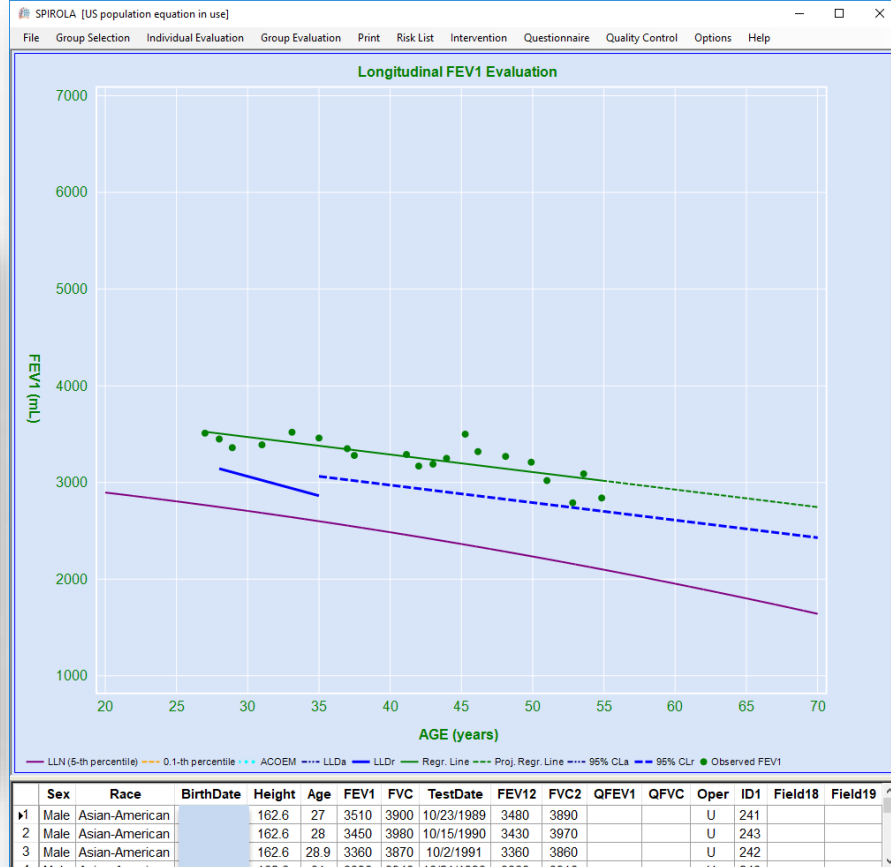
FVC within-person variation: 202 mL; 5.1%; (normal \leq 5%);

FEV1 group within-person variation: 186 mL; 5.3%; (normal \leq 5%);

FVC group within-person variation: 214 mL; 5.2%; (normal \leq 5%);

Interpretation and suggested actions:

- The within-person variation for FVC > 5% can be due to lack of spirometry quality control. Consider correcting data errors before interpretation. Occupational exposure, asthma, or personal factors can increase FVC variability.
- If confirmed that FVC < 95% CL for the regression line, consider re-testing in near future.



Pulmonary Function Report

Screening Report

Case 3

Patient Information

Name: _____

Height at test (in): 67.0 Sex: Male Smoking history (pk-yr): 28
 Weight at test (lb): 291.0 Age at test: 45 Predicted set: Hankinson (NHANES III)

Comments: Standing, with noseclips, Temp: 21C, 84% humidity, 750.3 mmHg

Diagnosis:

Interpretation

MODERATE RESTRICTIVE VENTILATORY DEFECT. This is indicated by the finding of a moderately reduced forced vital capacity (FVC). The finding of a disproportionately reduced forced expiratory flow during the middle half of exhalation (FEF 25-75) suggests the possibility of a SUPERIMPOSED EARLY OBSTRUCTIVE PULMONARY IMPAIRMENT. This interpretation is valid only upon physician review and signature.

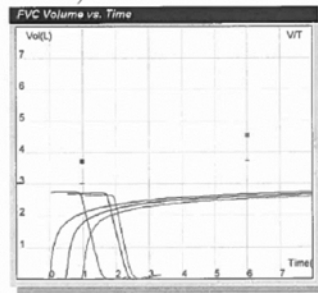
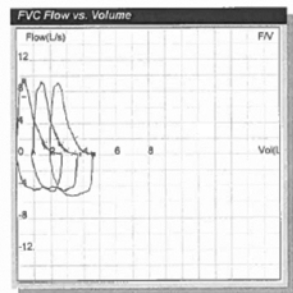
Kathryn Henzler, BSN, RN *Bestrillo*
 Occupational Health

Site: US Naval Hospital Guam Effort protocol: ATS/ERS 2006 Test date/time: 08/17/16 10:38:33 AM
 Physician: _____ Number of efforts performed: 3
 Technician: Suzanne L. Cabrera, RRT *12/16/16*

Results

Result	Pred	EC	Best	%Pred	EC	%Pred	%Pred	%Pred	
FVC (L)	4.69	4.13	2.74	58%	60%	2.69	57%	2.61	56%
FEV1 (L)	3.70	3.26	2.06	56%	63%	2.04	55%	2.05	55%
FEV1/FVC	0.79	0.79	0.75	95%	95%	0.76	96%	0.78	100%
FEF25-75% (L/s)	3.45		1.51	44%		1.56	45%	1.50	55%
PEFR (L/s)	9.37		9.47	101%		9.28	99%	9.08	97%
Vel %	—		1.98	—		2.10	—	2.34	—

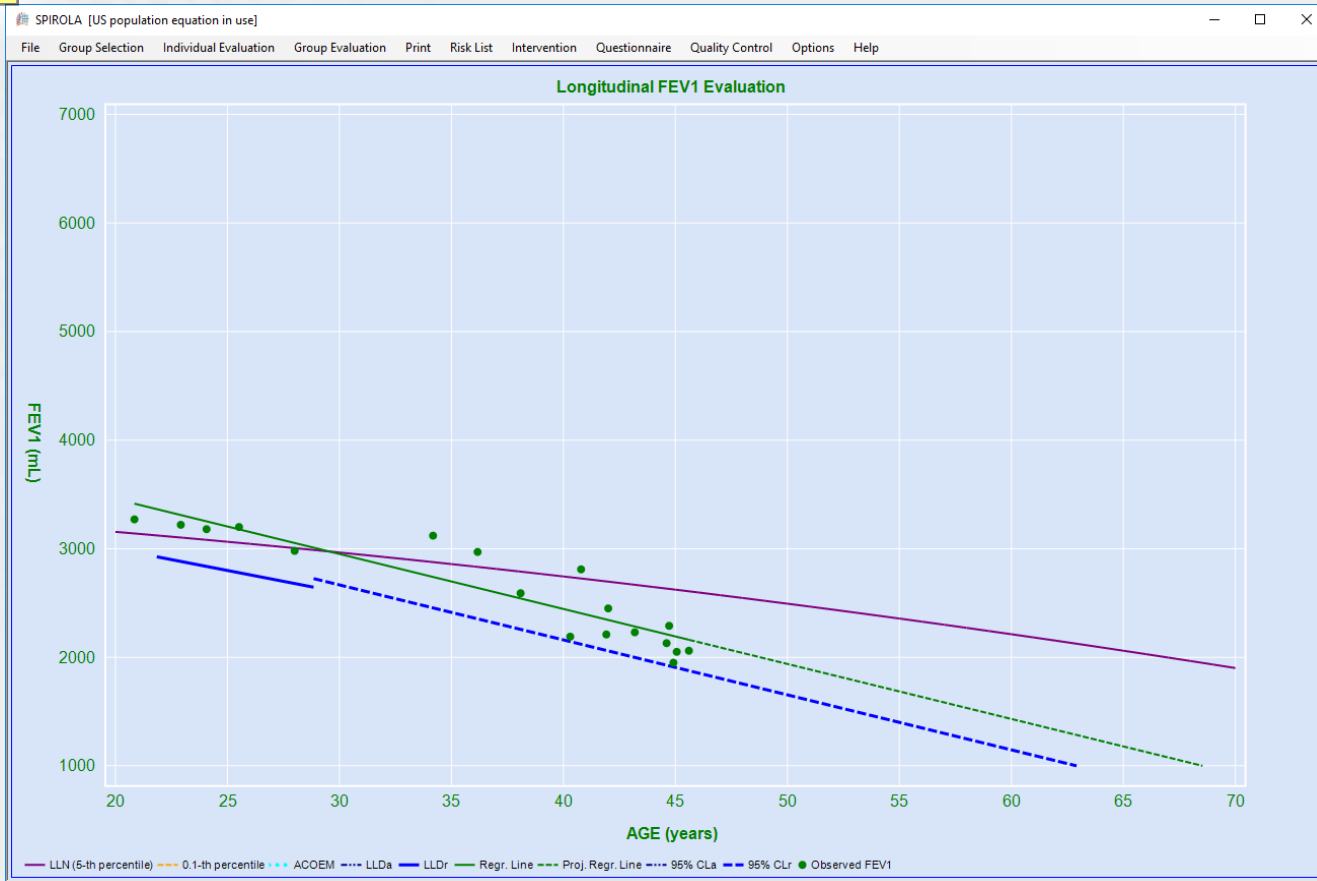
Test comments: *Does not meet standards WJW*



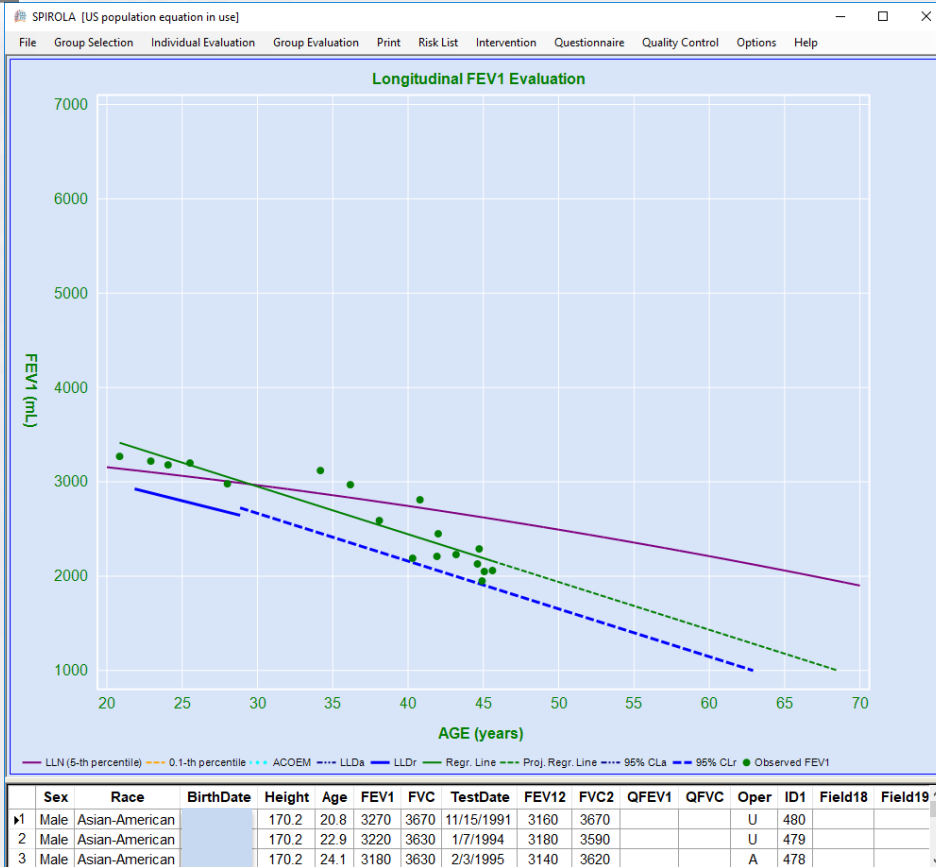
- 45 yo M Firefighter presents for annual physical exam
- PMH/PSHx: DM2, HTN, HLD
- Weight gain: 85 lbs, BMI: 43
- Meds: Metformin, Glipizide, Lisinopril, Lipitor
- Allergies: NKDA
- Soc Hx: Approx 28 smoking pack years, quit in 2014
- Occ Hx:
 - » Federal CIV Firefighter for 25 years
 - » Work Exposures: Nothing significant
- CXR Normal
- % Predicted with Asian Ethnic Correction:
 - » FVC: 66%, FEV1: 63%, FEV1/FVC%: 75%



Case 3



Case 3



Sex: Male
Race: Asian-American
Age: 45 years old
Height (Mean): 170.2 cm
Date of last test: 8/17/2016
Years of follow-up: 24 years and 9 months

Results of analysis:

Last observation: FEV1 below LLN
 FVC below LLN

Rate of FEV1 decline: Overall: 51 mL/year, 95% CI (29, 55)
 Last 8 years: 88 mL/year, 95% CI (54, 123)
 Rate of decline is increasing

Rate of FVC decline: Overall: 42 mL/year, 95% CI (29, 55)
 Last 8 years: 85 mL/year, 95% CI (54, 123)
 Rate of decline is increasing

FEV1 within-person variation: 202 mL; 6.2%, (normal <= 5%);

FVC within-person variation: 215 mL; 5.9%, (normal <= 5%);

FEV1 group within-person variation: 186 mL; 5.3%, (normal <= 5%);

FVC group within-person variation: 214 mL; 5.2%, (normal <= 5%);

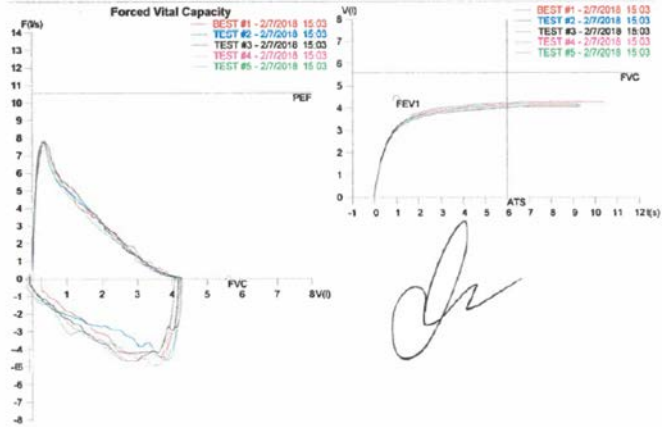
Interpretation and suggested actions:

- The within-person variation for FEV1 or FVC > 5% can be due to lack of spirometry quality control. Consider correcting data errors before interpretation. Occupational exposure, asthma, or personal factors can increase data variability.
- If confirmed that FEV1/FVC ≥ LLN and FVC < LLN, results indicate a low vital capacity.
- If confirmed that projected FEV1 declines to 0.1-th percentile (≈60% predicted FEV1), results indicate increased risk of developing moderate impairment.

Examine the spirometry quality and retest to confirm the results. If the results are confirmed, consider further evaluation, more frequent testing, and intervention.

Last Name: Date of Birth: Age: 42
 First Name: Sex: Male Weight (lb): 180.1
 ID: Ethnic Corr.: Caucasian Height (in): 72.0
 Date: 2/7/2018 Description: No
 Predicted: NHANES III Company: Smoke: No

Technician: am julao



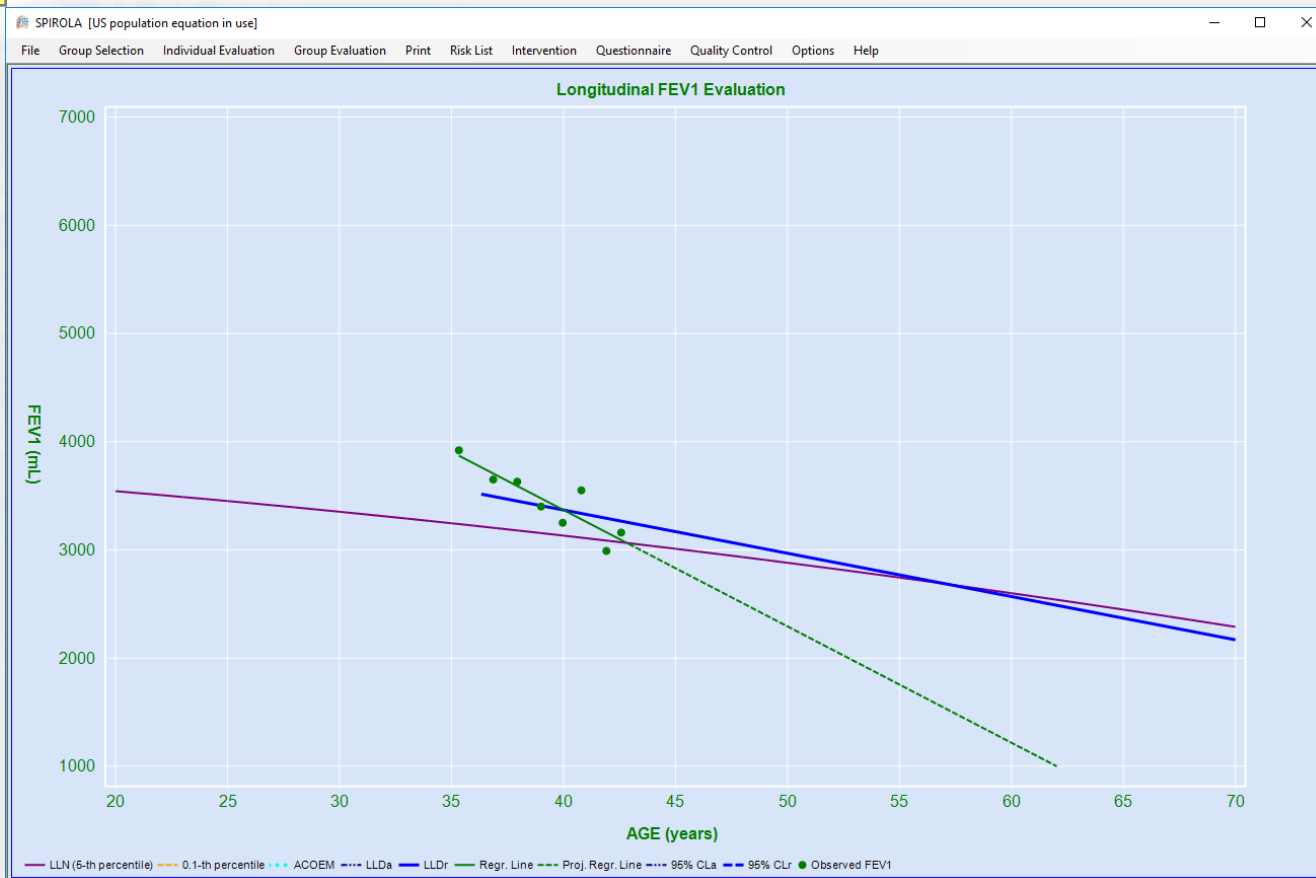
Parameter	UM	Description	Pred.	LLN	BEST#1	%Pred.	TEST#2	%Pred.	TEST#3	%Pred.	TEST#4	%Pred.
Best FVC	(L)	Best Forced Vital Capacity	5.40	4.59	4.30	76.8	4.30	76.8	4.30	76.8	4.30	76
FVC	(L)	Forced Vital Capacity	5.40	4.59	4.30	76.8	4.24	75.8	4.16	74.3	4.07	72
FEV1	(L)	Forced Exp Volume in 1 sec	4.42	3.57	3.16	71.5	3.11	70.4	3.12	70.7	3.04	69
PEF	(L/sec)	Peak Expiratory Flow	10.58	8.09	7.04	74.1	7.38	73.5	7.76	73.3	7.86	78
FEV6	(L)	Forced Exp Volume in 6 sec	5.45	4.47	4.26	79.2	4.21	79.2	4.13	76.7	4.04	74
PIF	(L/sec)	Peak Inspiratory Flow			2.57	1.10		4.71		1.54		
FEV1/FVC%	%	FEV1 as % of FVC	79.4	69.6	73.6	92.7	73.4	92.6	75.2	94.7	74.6	94
FEV1/FEV6%	%	FEV1 as % of FEV6			89.2		89.2		89.2		89.2	
FEV1/FEV6%	%	FEV1 as % of FEV6	81.5	72.4	74.2	81.9	73.9	80.7	75.7	82.9	75.2	82
MEF25-75%	(L/sec)	Forced mid-expiratory flow	4.06	2.24	2.47	60.7	2.45	60.6	2.51	61.9	2.42	59
MEF25%	(L/sec)	Max Exp Flow @ 25% FVC			4.55		4.97		5.30		5.05	
MEF50%	(L/sec)	Max Exp Flow @ 50% FVC			2.93		2.83		3.02		2.81	
MEF75%	(L/sec)	Max Exp Flow @ 75% FVC			0.99		1.08		1.05		0.95	
FET100%	(sec)	Forced Expiratory Time			6.5		6.4		7.0		6.5	
PEF1	(L/min)	Peak Expiratory Flow (min)			470.5		486.5		485.5		471.5	

Diagnosis:
 Suspected restrictive abnormality; Restrictive abnormality; Mild
 Printed 2/7/2018
 Spiro Vision 3+ 9.1a Page 1 of 1

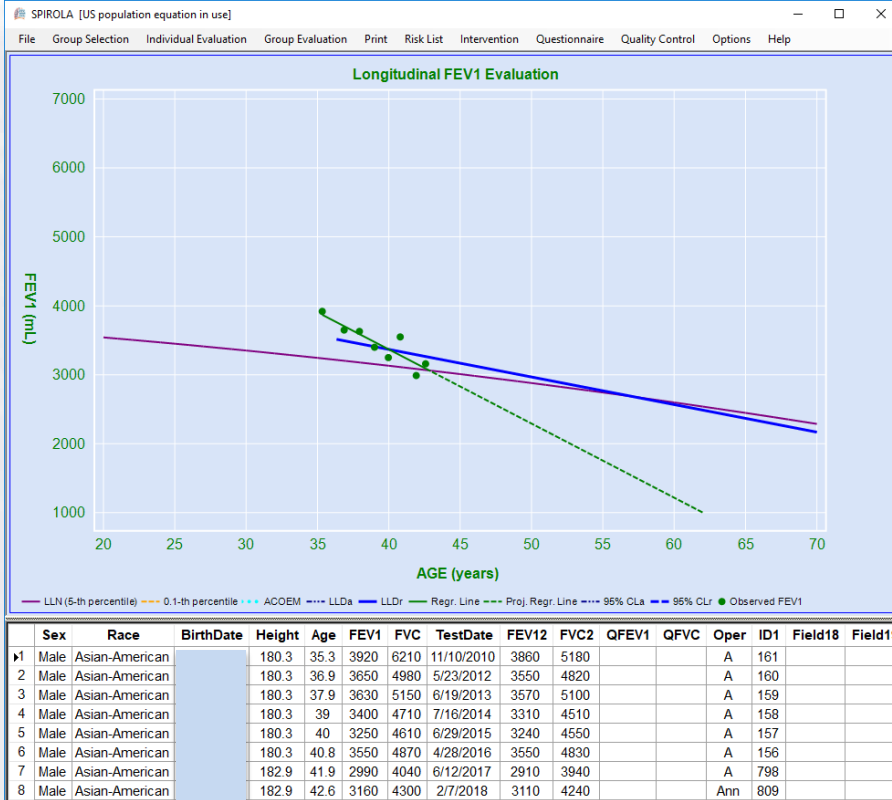
Case 4

- 42 yo M Firefighter presents for annual physical exam
- PMH/PSHx: Nothing significant
- Weight gain: 0, BMI: 24
- Meds: None
- Allergies: Dimetapp, Shellfish
- Soc Hx: Never smoked
- Occ Hx:
 - » Federal CIV Firefighter for 7 years
 - » Work Exposures: Nothing significant
- CXR Normal
- % Predicted and LLN with Asian Ethnic Correction:
 - » FVC: 4.30 (87%), FEV1: 3.16 (81%), FEV1/FVC: 73.6%
 - » LLN: FVC = 4.03, FEV1 = 3.14

Case 4



Case 4



Sex: Male
Race: Asian-American
Age: 42 years old
Height (Mean): 181.0 cm
Date of last test: 2/7/2018
Years of follow-up: 7 years and 2 months

Results of analysis:
Last observation: FEV1 below LLDr;
 FVC below LLDr

Rate of FEV1 decline: Overall: 108 mL/year, 95% CI (134, 327)
Rate of FVC decline: Overall: 231 mL/year, 95% CI (134, 327)

FEV1 within-person variation: 149 mL; 3.8%; (normal <= 5%);
FVC within-person variation: 330 mL; 5.3%; (normal <= 5%);

FEV1 group within-person variation: 186 mL; 5.3%; (normal <= 5%);
FVC group within-person variation: 214 mL; 5.2%; (normal <= 5%);

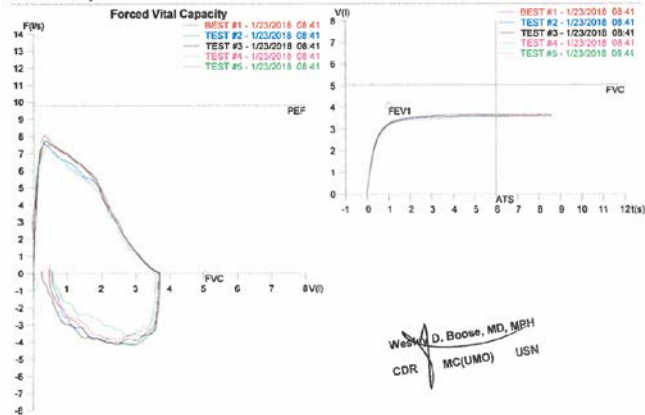
Interpretation and suggested actions:

- The within-person variation for FVC > 5% can be due to lack of spirometry quality control. Consider correcting data errors before interpretation. Occupational exposure, asthma, or personal factors can increase FVC variability.
- Examine the quality of baseline and current test. If confirmed that FEV1 or FVC <LLDr, the rate of decline may be excessive.

Examine the spirometry quality and retest to confirm the results. If the results are confirmed, consider further evaluation, more frequent testing, and intervention.

Last Name: [Redacted] Date of Birth: [Redacted] Age: 36
 First Name: [Redacted] Sex: Male Weight (lb): 218.9
 ID: [Redacted] Ethnic Corr.: Caucasian Height (in): 68.0
 Date: 1/23/2018 Description: Company: Smokes: No
 Predicted: NHANES III

Technician: ann_jitao



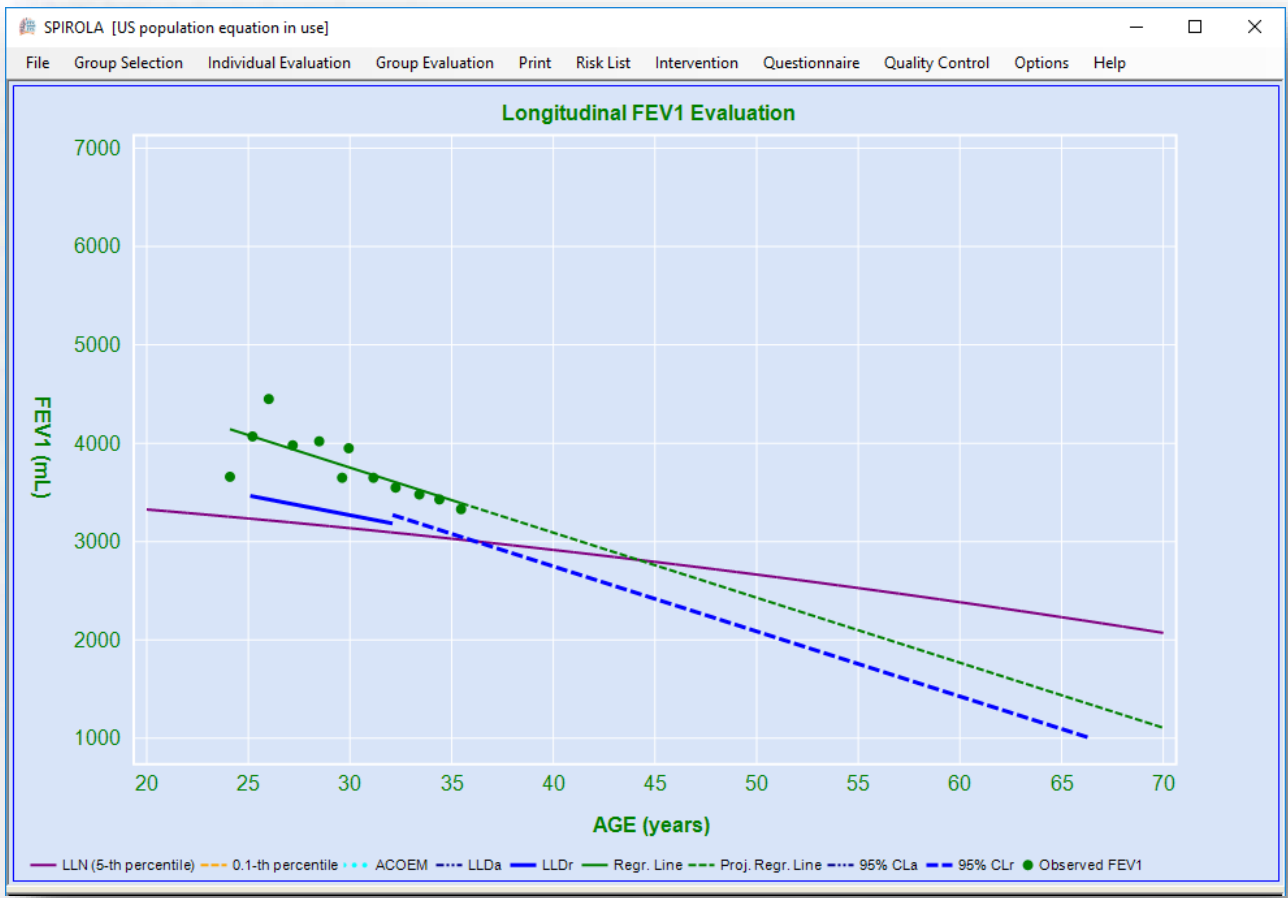
Wesley D. Boose, MD, MPH
 CDR MC(UMC) USN

Parameter	UM	Description	Pred.	LLN	BEST#1	%Pred.	TEST#2	%Pred.	TEST#3	%Pred.	TEST#4	%P
Best FVC	l(Aps)	Best Forced Vital Capacity	5.04	4.15	3.73	73.9	3.73	73.9	3.73	73.9	3.73	73
FVC	l(Aps)	Forced Vital Capacity	5.04	4.15	3.73	73.9	3.60	71.2	3.47	72.10	3.59	72
FEV1	l(Aps)	Forced Exp Volume in 1 sec	4.07	3.31	3.29	86.9	3.23	80.4	3.22	79.3	3.25	79
PEF	l/sec	Peak Expiratory Flow	9.79	7.57	8.66	82.4	7.61	77.8	7.76	79.2	7.57	77
FEV6	l(Aps)	Forced Exp Volume in 6 sec	4.94	4.07	1.25	1.36			3.64	73.5		
PIF	l/sec	Peak Inspiratory Flow	80.6	70.9	89.2	109.5	88.6	109.8	87.9	109.0	89.0	110
FEV1/FVC%	%	FEV1 as % of FVC							89.7	107.7		
FEV6/FVC%	%	FEV6 as % of FVC							99.1			
FEV1/FEV6%	%	FEV1 as % of FEV6							89.7	107.7		
FEF25-75%	l/sec	Forced mid-expiratory flow	3.99	2.46	4.19	105.0	4.29	107.6	4.11	103.9	4.37	109
MEF75%	l/sec	Max Exp Flow @ 25% FVC			6.96		6.60		7.03		7.69	
MEF50%	l/sec	Max Exp Flow @ 50% FVC			5.13		5.21		5.41		5.37	
MEF25%	l/sec	Max Exp Flow @ 75% FVC			1.63		1.99		1.84		2.32	
FET100%	sec	Forced Expiratory Time			3.5		4.2		6.6		3.7	
PEFR	l/min	Peak Expiratory Flow (l/min)			492.8		456.7		465.4		494.0	

Diagnosis:
 Suspected restrictive abnormality; Restrictive abnormality: Mild
 Printed 1/23/2018
 Spiro-Velox 3A 9.1a Page 1 of 1

Case 5

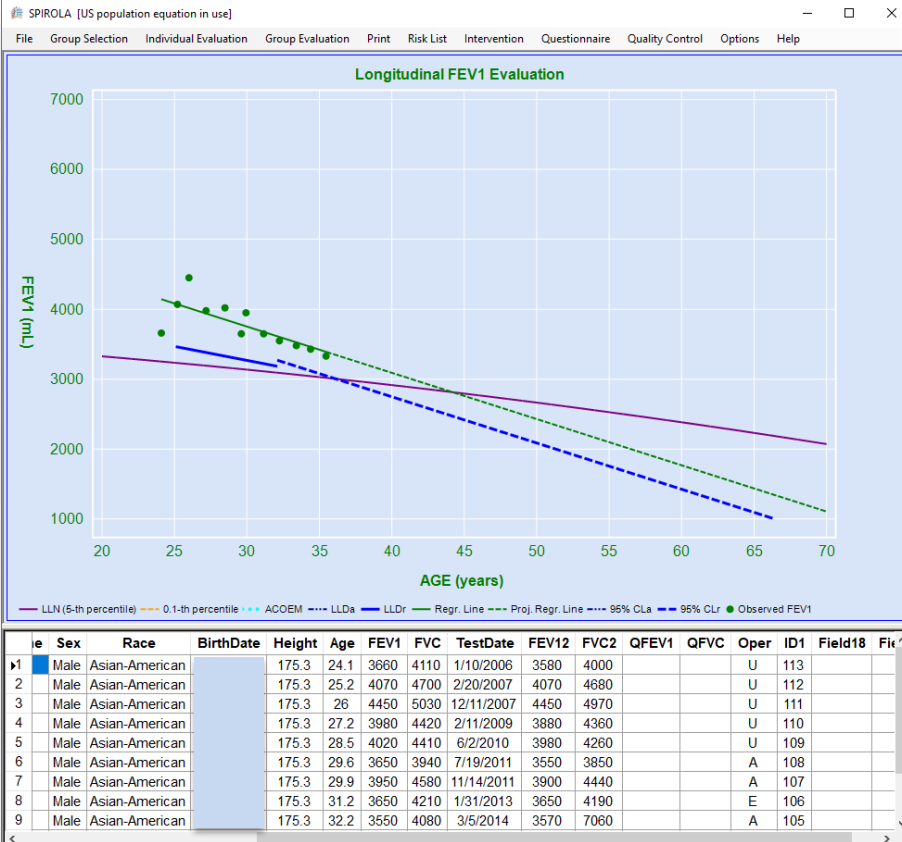
- 36 yo M Firefighter presents for annual physical
- PMH/PSHx: None
- Weight Gain: 23lbs, BMI 33
- Meds: Multivitamins
- Allergies: NKDA
- Soc Hx: Non-Smoker
- CXR: Normal
- Occ Hx:
 - » Federal CIV Firefighter for 11 years
 - » Work Exposures: Nothing significant
- % Predicted and LLN with Asian Ethnic Correction:
 - » FVC: 3.73 (84%), FEV1: 3.29 (92%), FEV1/FVC: 88.2%
 - » LLN: FVC = 3.65, FEV1 = 2.91



Case 5



Case 5



Sex: Male
Race: Asian-American
Age: 35 years old
Height (Mean): 175.0 cm
Date of last test: 5/24/2017
Years of follow-up: 11 years and 4 months

Results of analysis:
Last observation: FVC below LLN
Rate of FEV1 decline: Overall: 66 mL/year, 95% CI (25, 116)
 Last 8 years: 85 mL/year, 95% CI (61, 110)
 Rate of decline is increasing

Rate of FVC decline: Overall: 70 mL/year, 95% CI (25, 116)
 Last 8 years: 80 mL/year, 95% CI (61, 110)
 Rate of decline is increasing

FEV1 within-person variation: 227 mL; 5.9%; (normal <= 5%);
FVC within-person variation: 287 mL; 6.5%; (normal <= 5%);
FEV1 group within-person variation: 186 mL; 5.3%; (normal <= 5%);
FVC group within-person variation: 214 mL; 5.2%; (normal <= 5%);

Interpretation and suggested actions:

- The within-person variation for FEV1 or FVC > 5% can be due to lack of spirometry quality control. Consider correcting data errors before interpretation. Occupational exposure, asthma, or personal factors can increase data variability.
- If confirmed that FEV1/FVC ≥ LLN and FVC < LLN, results indicate a low vital capacity.
- If confirmed that projected FEV1 declines to 0.1-th percentile (=60% predicted FEV1), results indicate increased risk of developing moderate impairment.

Examine the spirometry quality and retest to confirm the results. If the results are confirmed, consider further evaluation, more frequent testing, and intervention.

In Summary

- Spirometry is an important medical surveillance tool
- ATS recommends longitudinal data analysis to identify excessive lung function decline
- Implementation of longitudinal data analysis software such as SPIROLA in an occupational health clinic and office setting is feasible
- Spirometry longitudinal data analysis helps to identify evidence of excessive lung function decline
- Consider data and spirometry quality in longitudinal data analysis, interpretation, and intervention
- Implementation supports occupational health goals of worker readiness, health and safety

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Questions and Answers

